

プログラミング言語 Standard ML 入門
— Introduction to Standard ML —

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Preface

This is a set of slides used in my lecture “Programming Methodology”.
(So exists the next slide.)

本資料は，MLの教科書

プログラミング言語 Standard ML 入門，大堀 淳，共立出版，
2001．

を使用して行った講義資料です．この教科書とともにお使いください．

Programming Methodology?

The right programming methodology is the Holy Grail of the subject. But does such venerated object exist?

The correct answer : No.

A real answer: to use a better programming language such as ML.

The goal of this course : to master ML programming.

What is ML?

Here are some answers:

- It was a Meta Language for LCF system.
- It is a functional programming language.
- It evolved to be a general purpose programming language.
- It is a statically typed language.
- It is a language with firm theoretical basis.

What are the features of ML?

Some of its notable features are:

- user-defined (algebraic) data types
- powerful pattern matching
- automatic memory management
- first-class functions
- integrated module systems
- polymorphism
- automatic type inference

ML is a cool programming language for cool hackers¹ .

¹ A person with an enthusiasm for programming or using computers as an end in itself.

Historical Notes on ML

- 60's: a meta language for the LCF project.
- 1972: Milner type inference algorithm.
- early 80'S: Cardelli's ML implementation using FAM.
- 80's: a series of proposals for Standard ML in "polymorphism".
- 80's: Standard ML of Edinburgh
- 80's: Caml (so called Caml-heavy later) of INRIA
- late 80's: Caml-light by Leroy.
- early 90's: Standard ML of New Jersey by Appel and MacQueen.
- 90's: Objective Caml by Leroy
- ⋮
- 2008: SML# at Tohoku University. (This is not a joke.)

Current (and neare futrue) Major Dialects of ML

1. Standard ML

An “official” one described in the following book.

R. Milner, M. Tofte, R. Harper, and D. MacQueen
The Definition of Standard ML (revised), MIT Press, 1997.

This is the one we learn using [Standard ML of New Jersey](#) system.

2. Objective Caml

A French dialect.

This is also a name of a system developed at INRIA.

It deviates from the definition, but it offers high-quality implementation.

⋮

3. [SML#](#) (to be released in near future)

Textbooks and References

Our lecture will roughly be based on

プログラミング言語 Standard ML 入門, 大堀 淳, 共立出版.

Other resources

- L. Paulson, "ML for the Working Programmer, 2nd Edition", Cambridge University Press, 1996.
(a very good textbook covering many topics)
- R. Harper, "Programming in Standard ML".
<http://www.cs.cmu.edu/People/rwh/introsml/>
(a textbook available on-line)
- M. Tofte, "Four Lectures on Standard ML" (SML'90)
<ftp://ftp.diku.dk/pub/diku/users/tofte/FourLectures/sml/>
(good tutorial, but based on the old version)

Some FAQs or Folklore on ML

- ML is based on theory. So it is difficult to learn, isn't it?
It should be the opposite!

Well designed machine with firm foundations is usually easier to use.
In ML, one only need to understand a small number of principles, e.g.

- expression and evaluation,
- functions (recursion and first-class functions),
- types, and
- datatypes.

The structure is much simpler than those of imperative languages.

- But, I heard that functional programming is more difficult.
No. At least, it is simpler and more natural.

Compare implementations of the factorial function:

$$0! = 1$$

$$n! = n \times (n - 1)!$$

```
fun f 0 = 1
  | f n = n * f (n - 1)

f(int n){
  int r;
  while (n != 0) {
    r = r * n;
    n = n - 1;
  }
  return(n);}
```

In general, code in ML is simpler and generally less error prone.

- Programming in ML is inefficient due to lots of compile type errors.
This makes ML a highly productive language!

For example, consider the following erroneous code

```
fun f L nil = L          (defun f (l1 l2)
  | f L (h::t) =          (if (null l1) l2
    f (h@L) t            (cons (cdr l1)
                             (f (car l1) l2))))
```

Type Error:
circularity
'Z list -> 'Z list
'Z -> 'Z list

append

ML reports all the type errors at the compile type.

This will make ML programming so efficient.

- Programs written in ML are slower.
- ML is a toy for academics,, and not for real production.
- ⋮

I would like to challenge these beliefs by developing an industrial strength, next generation ML....

Rest of the Contents of the Course

1. Getting Started (1,2)
2. Value bindings and function definitions (2)
3. Recursive and higher-order functions (3)
4. Static type system (4)
5. ML's built-in data types
6. List (5,6)
7. Recursive datatype definitions (7)
8. Imperative features
9. Module systems
10. Basis libraries
11. Programming project

1. GETTING STARTED

Installing SML of NJ

Go to: <http://www.smlnj.org/software.html> and download the software.

- Windows systems: [smlnj.exe](#) (a self-extracting archive.)
- Linux RPM: [smlnj-110.0.7-4.i386.rpm](#)
- Other Unix systems:
Follow the instructions given in the SML NJ page.

It is already installed in JAIST system.

But if you have a PC, do Install the system on it.

How to use ML

To start interactive session:

```
% sml          (invoking SML/NJ compiler)
Standard ML .... (opening message)
-              (input prompt)
```

After this, the user and the system iterate the following interaction:

1. the user inputs a program,
2. the system compiles and executes the program, and
3. the system prints the result.

Terminating the session:

- To terminate, type \hat{D} at the top-level.
- To cancel evaluation, type \hat{C} .

Expression and Evaluations

The fundamental principle of functional programming:

a program is an expression that evaluates to a value.

So in your interactive session, you do:

- *expr* ; (an expression)
val it = value : type (the result)

where

- “;” indicates the end of a compilation unit,
- *value* is a representation of the computed result,
- *type* is the type ML compiler inferred for your program, and
- *val it* means the system remember the result as “it”.

Atomic Expressions

In ML, the “hello world” program is simply:

```
% sml  
- "Hi, there!";  
val it = "Hi, there!" : string
```

In ML, a simple program is indeed very simple!

Compare the above with that of C.

Note:

- Here "Hi, there!" is an expression and therefore a complete program!
- This is an example of atomic expressions or **value literals**.
- The result of an atomic expression is itself.
- ML always infers the type of a program. In this case, it is **string**.

Some atomic expressions:

- 21;

val it = 21 : int

- 3.14;

val it = 3.14 : real

- 1E2;

val it = 100.0 : real

- true;

- true;

val it = true : bool

- false;

val it = false : bool

- #"A";

val it = #"A" : char

where

- *int* : integers
- *real* : floating point numbers
- *bool* : boolean values
- *char* : characters

Expressions with Primitive Operations

Simple arithmetic expressions

- ~ 2 ;

val it = ~ 2 : int

- $3 + \sim 2$;

val it = 1 : int

- $22 - (23 \bmod 3)$;

val it = 20 : int

- $3.14 * 6.0 * 6.0 * (60.0/360.0)$;

val it = 18.84 : real

- $\sim n$ is a negative number, and \sim is the operator to multiply ~ 1 .
- `mod` is the remainder operator.

Expression can be of any length.

```
- 2 * 4 div (5 - 3)
```

```
= * 3 + (10 - 7) + 6;
```

```
val it = 21 : int
```

The first = above is the continue-line prompt.

Conditional and Boolean expressions

In ML, a program is an expression, so

a conditional program is also an expression.

- `if true then 1 else 2;`

val it = 1 : int

- `if false then 1 else 2;`

val it = 2 : int

where `true` and `false` are boolean literals.

Note:

- `if E_1 then E_2 else E_3` is an expression evaluates to a value.
- E_1 can be any boolean expressions.

A fundamental principle of a typed language:

expressions can be freely combined as far as they are type correct.

So you can do:

- $(7 \bmod 2) = 2;$

val it = false : bool

- $(7 \bmod 2) = (\text{if false then 1 else 2});$

val it = false : bool

- $\text{if } (7 \bmod 2) = 0 \text{ then } 7 \text{ else } 7 - 1;$

val it = 60 : int

- $6 * 10;$

val it = 60 : int

- $(\text{if } (7 \bmod 2) = 0 \text{ then } 7 \text{ else } 7 - 1) * 10;$

val it = 60 : int

Expression “it”

The system maintain a special expression `it` which always evaluates to the last successful result.

```
- 31;
```

```
val it = 31 : int
```

```
- it;
```

```
val it = 31 : int
```

```
- it + 1;
```

```
val it = 32 : int
```

```
- it;
```

```
val it = 32 : int
```


Characters and Strings

- `if #"A" > #"a" then ord #"A" else ord #"a";`

val it = 97 : int

- `chr 97;`

val it = #"a" : char

- `str it;`

val it = "a" : string

- `"SML" > "Lisp" ;`

val it = true : bool

- `"Standard " ^ "ML";`

val it = "Standard ML" : string

- $e_1 e_2$ is function application.
- `ord e` returns the ASCII code of character e .
- `chr e` returns the character of the ASCII code e .

Reading programs from a file

To execute programs in a file, do the following:

```
use "file" ;
```

The system then perform the following:

1. open the file
2. compile, evaluate, and print the result for each compilation unit separated by “;”
3. close the file
4. return to the top-level.

Syntax Error and Type Error

As in other language, ML compiler reports syntax error:

- (2 +2] + 4);

stdIn:1.7 Error: syntax error found at RBRACKET

It also report the type errors as follows:

- 33 + "cat";

stdIn:21.1-21.11 Error: operator and operand don't agree [literal]

*operator domain: int * int*

*operand: int * string*

in expression:

33 + "cat"

No type cast or overloading

To achieve complete static typechecking, there is no type cast nor overloading.

- `10 * 3.14;`

stdIn:2.1-2.10 Error: operator and operand don't agree [literal]

*operator domain: int * int*

*operand: int * real*

in expression:

*10 * 3.14*

You need explicit type conversion:

- `real 10;`

val it = 10.0 : real

- `it * 3.14;`

val it = 31.4 : real

Exercise

1. Install the SML/NJ system and perform the interactive session shown in this note.
2. Predict the result of each of the following expression.

1 44;

2 it mod 3;

3 44 - it;

4 (it mod 3) = 0;

5 if it then "Boring" else "Strange";

Check your prediction by evaluating them in SML/NJ.

3. In the ASCII code, uppercase letters A through Z are adjacent and in this order. The same is true for the set of lowercase letters. Assuming only this fact, write an expression that evaluates to an upper case

character X to its lower case where X is the name bound to some upper case character.

4. In the ASCII code system, the set of uppercase letters and that of lowercase letters are not adjacent. Write an expression that evaluates to the number of letters between these sets. Use this result and write an expression to return a string of the characters between these two sets.

2. VALUE BINDING AND FUNCTION DEFINITIONS

Variable binding

The first step of a large program development is to name an expression and use it in the subsequent context using the syntax:

```
val name = exp ;
```

which “binds” *name* to *expr* and store the binding in the top-level environment.

```
- val OneMile = 1.6093;
```

```
val OneMile = 1.6093 : real
```

```
- OneMile;
```

```
val it = 1.6093 : real
```

```
- 100.0 / OneMile;
```

```
val it = 62.1388181197 : real
```


There is no need to declare variables and their types.

```
- val OneMile = 1.609;  
val OneMile = 1.609 : real  
- val OneMile = 1609;  
val OneMile = 1609 : int  
- OneMile * 55;  
val it = 88495
```

Reference to an undeclared variable results in type error.

```
- onemile * 55;  
stdIn:22.1-22.8 Error: unbound variable or constructor: onemile
```

Identifiers

A name can be one of the following identifiers:

1. alphabetical

Starting with **uppercase letter**, **lowercase letter**, or **#"'"**, and containing only of **letters**, **numerals**, **#"'"**, and **#"'"_**.

Identifier starting with **#"'"** are for names of type variables.

2. symbolic

a string consisting of the following characters;

! % & \$ # + - / : < = > ? @
\ ~ ' ^ | *

Keywords

```
abstype and andalso as case datatype do else end
eqtype exception fn fun functor handle if in
include infix infixr let local nonfix of op open
orelse raise rec sharing sig signature struct
structure then type val where while with withtype
( ) [ [ { } , : :> ; ... _ | = => ->
#
```

Function Definitions

A function is defined by **fun** construct:

```
fun f p = body ;
```

where

- *f* is the name of the function,
- *p* is a formal parameter, and
- *body* is the function body.

This declaration define a function that takes a parameter named *p* and return the value *body* computes.

Simple example:

```
- fun double x = x * 2;  
val double = fn : int -> int
```

where

- `val double = fn` indicates that `double` is bound to a function value.
- `int -> int` is a type of functions that takes an integer and returns an integer.

This function can be used as:

```
- double 1;  
val it = 2 : int
```

Applying a Function

Typing principle:

f of type $\tau_1 \rightarrow \tau_2$ can be applied to an expression E of type τ_1 , yielding a value of type τ_2 .

This can be written concisely as:

$$\frac{f : \tau_1 \rightarrow \tau_2 \quad E : \tau_1}{f E : \tau_2}$$

Remember our fundamental principle on typed language:

expressions can be freely combined as far as they are type correct.

So E can be any expression and $f E$ can occur whenever type τ_1 is allowed.

- `double (if true then 2 else 3);`

val it = 4 : int

- `double 3 + 1;`

val it = 7 : int

- `double (double 2) + 3;`

val it = 11 : int

Important note:

Function application $E_1 E_2$ associates tightest and from the left.

So, `double 3 + 1` is interpreted as `(double 3) + 1`.

Function Definitions with Simple Patterns

- `fun f (x,y) = x * 2 + y;`

`val f = fn : int * int -> int`

- `f (2,3);`

`val it = 7 : int`

where

- (E_1, \dots, E_n) is a tuple,
- $\tau_1 * \dots * \tau_n$ is a tuple type,
- Function type constructor \rightarrow associate weakly than other type constructor, so `int * int -> int` is `(int * int) -> int`.

Typing rule for tuples is:

$$\frac{E_1 : \tau_1 \quad \dots \quad E_n : \tau_n}{(E_1, \dots, E_n) : \tau_1 * \dots * \tau_n}$$

So you can form any form of tuples:

```
- ("Oleo", ("Kenny", "Drew"), 1975);  
val it = ("Oleo", ("Kenny", "Drew"), 1975)  
      : string * (string * string) * int
```

Evaluation Process of Function Application

The system evaluate $E_1 E_2$ in the following steps:

1. Evaluate E_1 to obtain a function definition $\text{fn } x \Rightarrow E_0$
2. Evaluate the argument E_2 to a value v_0 .
3. Extend the environment in which the function is defined with the binding $x \mapsto v_0$,
4. evaluate the function body E_0 under the extended environment and obtain the result value v .
5. v is the result of $E_1 E_2$.

Evaluation process:

```
1 Eval({}, double (double 2) + 3)
2   | Eval({}, double (double 2))
3   |   | Eval({}, (double 2))
4   |   |   | Eval({}, 2) = 2
5   |   |   | Eval({x ↦ 2}, x * 2)
6   |   |   |   | Eval({x ↦ 2}, x) = 2
7   |   |   |   | Eval({x ↦ 2}, 2) = 2
8   |   |   |   | Eval({x ↦ 2}, 2 * 2) = 4
9   |   |   |   = 4
10  |   |   = 4
11  |   | Eval({x ↦ 4}, x * 2)
12  |   |   | Eval({x ↦ 4}, x) = 4
13  |   |   | Eval({x ↦ 4}, 2) = 2
14  |   |   | Eval({x ↦ 4}, 4 * 2) = 8
15  |   |   = 8
16  |   = 8
17  | Eval({}, 3) = 3
18  | Eval({}, 8 + 3) = 11
19  = 11
```

3. RECURSIVE AND HIGHER-ORDER FUNCTIONS

Recursive Functions

A function definition

```
fun f p = body
```

is **recursive** if *body* contains *f*, the function being defined by this definition.

A simple example: the factorial function

$$0! = 1$$

$$n! = n \times (n - 1)!$$

```
fun f n =  
  if n = 0 then 1  
  else n * f (n - 1)
```

Many complex problems can naturally be solved recursively.

How to design a recursive function:

$$0! = 1$$

$$n! = n \times (n - 1)!$$

1. Write the trivial case.

```
if n = 0 then 1
```

2. Decompose a complex case into smaller problems, and solve the smaller problems by using the function you are defining.

```
f (n - 1)
```

3. Compose the results to obtain the solution.

```
n * f (n - 1)
```

How to analyze a recursive function definition:

```
fun f n =  
  if n = 0 then 1  
  else n * f (n - 1)
```

1. Assume that f computes the desired function.

$f\ n$ is $n!$

2. Show that *body* is correct under the assumption above.

$f\ 0$ is $0!$.

By the assumption, $f\ (n - 1)$ is $(n - 1)!$.

So $f\ n$ is $n!$. So the body of the above definition is correct.

3. If the above step succeed then f indeed computes the desired function.

Fibonacci sequence is defined as follows:

$$F_0 = 1$$

$$F_1 = 1$$

$$F_n = F_{n-2} + F_{n-1} \quad (n \geq 2)$$

The following function computers this sequence.

```
fun fib n = if n = 0 then 1
            else if n = 1 then 1
            else fib (n - 1) + fib (n - 2)
```


Tail Recursive Functions

Consider the definition again:

```
fun f n =  
  if n = 0 then 1  
  else n * f (n - 1)
```

This function uses $O(n)$ stack space. However, we can write a C code that only using a few variables.

Is ML inefficient?

The answer is the obvious one:

Efficient ML programs are efficient, and inefficient ML programs are inefficient.

A recursive function definition `fun f p = body` is **tail recursive** if all the calls of `f` in `body` are in **tail call positions** in `body`.

`[]` is a tail call position in T :

$$T := [] \mid \text{if } E \text{ then } T \text{ else } T \mid (E; \dots; T) \\ \mid \text{let } \textit{decs} \text{ in } T \text{ end} \\ \mid \text{case } e \text{ of } p_1 \Rightarrow T \mid \dots \mid p_n \Rightarrow T$$

Examples of tail calls:

- `f e`
- `if e1 then f e2 else f e3`
- `let decls in f e end`

Tail recursive functions do not consume stack space and therefore more efficient.

Tail recursive version of fact:

```
fun fact (n, a) = if n = 0 then a  
                  else fact (n - 1, n * a);
```

```
val fact = fn : int * int -> int
```

```
fun factorial n = fact (n,1);
```

```
val factorial = fn : int -> int
```

`fact` is an auxiliary function for `factorial`.

Let Expression

The following `let` expression introduces local definitions

```
let
  sequence of val or fun definitions
in
  exp
end
```

Simple example:

```
let
  val x = 1
in
  x + 3
end;
val it = 4 : int
```

A function is usually defined using this construct as:

```
- fun factorial n =  
  let  
    fun fact n a = if n = 0 then a  
                  else fact (n - 1) (n * a)  
  in  
    fact n 1  
  end;  
val factorial = fn : int -> int
```

Notes on `let decl in exp end`:

- This is an expression.
- The type and the result of this expression is those of *exp*.

- `let`

```
    val pi = 3.141592
```

```
    fun f r = 2.0 * pi * r
```

```
in
```

```
    f 10.0
```

```
end * 10.0;
```

```
val it = 628.3184 : real
```

Local Definitions

The following local special form also introduce local definitions

```
local  
  declList1  
in  
  declList2  
end
```

- Only the definitions in *declList2* are valid after this declaration.
- *declList1* is used in *declList2*.

- local

```
fun fact n a = if n = 0 then a
              else fact (n - 1) (n*a)
```

in

```
fun factorial n = fact n 1
```

end;

val factorial = fn : int -> int

- fact;

stdIn:10.1-10.4 Error: unbound variable or constructor fact

Mutually Recursive Functions

Functions/problems are often mutually recursive.

Consider that you deposit some money x under the condition that each year's interest rate is determined by some function F from the previous year's balance.

Let

- A_x^n be the amount after n year deposit
- I_x^n the n 'th year's interest rate.

They satisfy the following equations:

$$I_x^n = F(A_x^{n-1}) \quad (n \geq 1)$$
$$A_x^n = \begin{cases} x & (n = 0) \\ A_x^{n-1} \times (1.0 + I_x^n) & (n \geq 1) \end{cases}$$

which can be directly programmed as follows:

```
- fun I(x,n) = F(A(x,n - 1))  
  and A(x,n) = if n = 0 then x  
               else A(x,n-1)*(1.0+I(x,n));  
val I = fn : real * int -> real  
val A = fn : real * int -> real
```

Recursion and Efficiency

Straightforward implementation of recursive functions results in inefficient programs due to **repeated calls on same arguments**.

Consider again:

```
fun fib n = if n = 0 then 1
            else if n = 1 then 1
            else fib (n - 1) + fib (n - 2)
```

In computing `fib n`,

- `fib n` is called once; `fib (n - 1)` is called once
- `fib (n - 2)` is called twice; `fib (n - 3)` is called 3 times
- `fib (n - 4)` is called 5 times; `fib (n - 5)` is called 8 times
- \vdots

Question: How many times `fib 0` is called?

To avoid repeated computation, we consider a function G_k

$$G_k(F_{n-1}, F_n) = F_{n+k}$$

which takes two consecutive Fibonacci numbers (F_{n-1}, F_n) and returns the Fibonacci number F_{n+k} .

G satisfies the following recursive equations:

$$G_0(a, b) = a$$

$$G_1(a, b) = b$$

$$G_{k+1}(a, b) = G_k(b, a + b)$$

```
fun fastFib n =  
  let  
    fun G(n,a,b) =  
      if n = 0 then a  
      else if n = 1 then b  
      else G(n-1,b,a+b)  
  in  
    G(n,1,1)  
  end
```

Only one call for $G(n, a, b)$ for each n , so this function computes F_n in $O(n)$ time.

The same is true for A and I in

```
fun I(x,n) = F(A(x,n - 1))  
and A(x,n) = if n = 0 then x  
              else A(x,n-1)*(1.0+I(x,n));
```

In computing $A(x,n)$,

- $A(x,n)$ is called once,
- $A(x,n - 1)$ is called twice
- $A(x,n - 2)$ is called 4 times
- \vdots

Repeated computation is avoided by computing A_x^n and I_x^n using a function G defined as

$$G_k(A^n, I^n) = (A^{n+k}, I^{n+k})$$

which satisfies:

$$G_0(a, i) = (a, i)$$
$$G_{k+1}(a, i) = G_k(a \times (1 + I), F(a))$$

So we can define:

```
local
```

```
  fun G(n,a,i) = if n = 0 then (a,i)
                 else G(n-1,a * (1.0 + i)),F a)
```

```
in
```

```
  fun A(n,x) = #1 (G(n,x F(x)))
  fun I(n,x) = #2 (G(n,x F(x)))
```

```
end
```

Higher-Order Functions

(1) Functions can return a function

Consider a function taking 2 arguments:

```
- fun Power(m,n) = if m = 0 then 1  
                    else n * Power(m - 1,n);
```

```
val Power = fn : int * int -> int
```

```
- Power(3,2);
```

```
val it = 8 : int
```

But in ML, we can also define:

```
- fun power m n = if m = 0 then 1  
                  else n * power (m - 1) n;
```

```
val power = fn : int -> int -> int
```

`power` is a function that takes one argument m and returns a function that takes an argument n and returns m^n .

So we can write:

- `val cube = power 3;`

val cube = fn : int -> int

- `cube 2;`

val it = 8 : int

Note:

- function application associates to the left so
`power (m - 1) n` is `(power (m - 1)) n`.
- `->` associates to the right so
`int -> int -> int` is `int -> (int -> int)`.

(2) Functions can take functions as its arguments

To understand such a function, let us first consider the following function:

```
- fun sumOfCube n = if n = 1 then cube 1  
                    else cube n + sumOfCube (n - 1);
```

```
val sumOfCube = fn : int -> int
```

```
- sumOfCube 3;
```

```
val it = 36 : int
```

We note that `sumOfCube` is a special case of the computation:

$$\sum_{k=1}^n f(k) = f(1) + f(2) + \cdots + f(n)$$

In ML, we can directly define such computation as a program.

```
- fun summation f n = if n = 1 then f 1
                        else f n + summation f (n - 1);
val summation = fn : (int -> int) -> int -> int
```

Remember:

- $(\text{int} \rightarrow \text{int}) \rightarrow \text{int} \rightarrow \text{int}$ is $(\text{int} \rightarrow \text{int}) \rightarrow (\text{int} \rightarrow \text{int})$.
- `summation` is a function that
 - takes a value of type `int -> int`, and
 - return a value of type `int -> int`.

So `summation` is a function that takes a function as its argument and returns a function.

Remember our principle on typing:

expressions can be freely combined as far as they are type correct.

We can use `summation` as far as the usage is type consistent.

```
- val newSumOfCube = summation cube;
```

```
val newSumOfCube = fn : int -> int
```

```
- newSumOfCube 3;
```

```
val it = 36 : int
```

We can also write:

```
- val sumOfSquare = summation (power 2);
```

```
val sumOfSquare = fn : int -> int
```

```
- sumOfSquare 3;
```

```
val it = 14
```

```
- summation (power 4) 3;
```

```
val it = 98 : int
```

Function Expressions

In ML, a function is a value, and therefore representable by expressions.

A function expression of the form

$$\text{fn } p \Rightarrow \textit{body}$$

denotes the function that takes p and returns the value denoted by \textit{body} .

- $\text{fn } x \Rightarrow x + 1;$

$\textit{val it} = \text{fn} : \textit{int} \rightarrow \textit{int}$

- $(\text{fn } x \Rightarrow x + 1) 3 * 10;$

$\textit{val it} = 40 : \textit{int}$

Using this function expression, we can write a program to generate a function.

To see its usefulness, consider:

```
fun f n m = (fib n) mod m = 0;
```

which computes `fib n` for each different `m`. So

```
val g = f 35;  
(g 1, g 2, g 3, g 4, g 5);
```

compute `fib 35` 5 times.

This is clearly redundant, and should be avoided.

We can factor out the computation `fib 35` as

```
fun f n = let val a = (fib n)
          in fn m => a mod m = 0
          end
```

This performs the following computation:

1. receives `n`
2. computes `fib n` and binds `m` to the result,
3. return a function `fn m => a mod m = 0`.

So after this,

```
val g = f 35;
(g 1, g 2, g 3, g 4, g 5);
```

will not compute `fib 35` again.

Static Scoping

General principle: defining a name hide the previous definition.

A simple example:

```
- let val x = 3 in (fn x => x + 1) x end;  
val it = 4 : int
```

Names are defined in

- `val` definition
- `fun` definition
- `fn x => e` expression

val declaration

```
val  $x_1$  =  $exp_1$   
and  $x_2$  =  $exp_2$   
  ⋮  
and  $x_n$  =  $exp_n$  ;
```

The scope of x_1, \dots, x_n is the expressions that follow this definition.

```
val x = 1  
val y = x + 1  
val x = y + 1  
and y = x + 1
```

will binds x to 3 and y to 2.

Function declarations

$\text{fun } f_1 \ p_1^1 \ \cdots \ p_{k_1}^1 = \text{exp}_1$
 $\text{and } f_2 \ p_1^2 \ \cdots \ p_{k_2}^2 = \text{exp}_2$
 \vdots
 $\text{and } f_n \ p_1^n \ \cdots \ p_{k_n}^n = \text{exp}_n \ ;$

The scope of f_1, \dots, f_n are

- $\text{exp}_1, \dots, \text{exp}_n$, and
- the expressions that follow this definition.

Note:

In static scoping, new bindings only hid previous binding and do not change them.

- `val x = 10;`

val x = 10 : int

- `val y = x * 2;`

val y = 20 : int

- `val x = 20;`

val x = 20 : int

- `y;`

val it = 20 : int

This is also true for function definitions.

- val x = 10;

val x = 10 : int

- val y = 20;

val y = 20 : int

- fun f x = x + y;

val f = fn : int -> int

- f 3;

val it = 23 : int

- val y = 99;

val y = 99 : int

- f 3;

val it = 23 : int

Binary Operators

In ML, operators are functions and functions only take one arguments.

Operator expressions of the form

$e_1 \text{ op } e_2$

is a *syntactic sugar* for

$op(e_1, e_2)$

The following declaration defines operator syntax:

- `infix n id1 ··· idn` : left associative operator of strength n .
- `infixr n id1 ··· idn` : right associative operator of strength n .

The system pre-defined the following

```
infix 7 * /
```

```
infix 6 + -
```

You can also define your own operators as:

- infix 8 Power;

infix 8 Power

- 2 Power 3 + 10;

val it = 19 : int

`op id` temporarily invalidate operator declarations:

- Power;

stdIn:4.1 Error: nonfix identifier required

- op Power;

*val it = fn : int * int -> int*

- op Power (2,3);

val it = 9 : int

Exercise Set (2)

1. For each of the following, write down a recursive equation, and a recursive program corresponding to the equation.

$$(1) S_n = 1 + 2 + \cdots + n$$

$$(2) S_n = 1 + (1 + 2) + (1 + 2 + 3) + \cdots + (1 + 2 + \cdots + n)$$

2. Write a tail recursive definition for each of the above.

3. Let us represent a 2×2 matrix $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$ as (a, b, c, d) . Write a function `matrixPower(n, A)` that takes any matrix A and an integer n , and returns A^n .

4. From the definition of Fibonacci numbers we have:

$$F_n = F_n$$
$$F_{n+1} = F_{n-1} + F_n$$

Let

$$G_n = \begin{pmatrix} F_n \\ F_{n+1} \end{pmatrix}$$

Then we have:

$$G_n = \begin{pmatrix} 0 & 1 \\ 1 & 1 \end{pmatrix} G_{n-1}$$

and therefore

$$G_n = \begin{pmatrix} F_n \\ F_{n+1} \end{pmatrix} = \underbrace{\begin{pmatrix} 0 & 1 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & 1 \end{pmatrix} \cdots \begin{pmatrix} 0 & 1 \\ 1 & 1 \end{pmatrix}}_{n \text{ times}} \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

Thus if $A = \begin{pmatrix} 0 & 1 \\ 1 & 1 \end{pmatrix}$ then we have:

$$G_n = A^n \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

Using the above facts, define a function to compute F_n using `matrixPower`

5. Define a tail recursive version of `summation`.
6. For each of the following, define a function using `summation`.

(1) $f(x) = 1 + 2 + \dots + n$

(2) $f(n) = 1 + 2^2 + 3^2 + \dots + n^2$

(3) $f(n) = 1 + (1 + 2) + (1 + 2 + 3) + \dots + (1 + 2 + \dots + n)$

7. Define

`summation'` : `(int -> real) -> int -> real`

that computes $\sum_{k=1}^n f(k)$ for a given function of type `int -> real`.

8. Let $f(x)$ be a function on real numbers.

$$\int_a^b f(x) dx$$

can be approximated by

$$\sum_{k=1}^n \left(f \left(a + \frac{k(b-a)}{n} \right) \times \frac{b-a}{n} \right)$$

for some large n .

Define a function `integral` that takes f , n , a and b , and compute the above value.

You will need a function `real : int -> real` that converts a value of type `int` to the corresponding value of `real`.

9. `summation` can be regarded as a special case of the following more general computation scheme:

$$\Lambda_{k=1}^n(h, f, z) = h(f(n), \dots, h(f(1), z) \dots)$$

For example, $\sum_{k=1}^n f(k)$ can be defined as $\Lambda_{k=1}^n(+, f, 0)$.

Write a higher-order function

accumulate h z f n

that compute $\Lambda_{k=1}^n(h, z, f)$.

10. Define summation using accumulate.

11. Define each of the following function using accumulate.

$$(1) f_1(n) = 1 + 2 + \dots + n$$

$$(2) f_2(n) = 1 \times 2 \times \dots \times n$$

$$(3) f_3(n, x) = 1 \times x^1 + 2 \times x^2 + \dots + n \times x^n$$

12. We can regard a function f of type `int -> bool` as a set of elements for which f returns true. For example,

```
fn x => x = 1 orelse x = 2 orelse x = 3
```

can be regarded as a representation of the set $\{1, 2, 3\}$. In this, `exp1 orelse exp2` is logical or of `exp1` and `exp2`. An expression to denote the logical and of `exp1` and `exp2` is `exp1 andalso exp2`.

Based on the above observation, write the following functions for manipulating sets:

- (1) `emptyset` to denote the emptyset.
- (2) `singleton` that returns the singleton set $\{n\}$ of a given n .
- (3) `insert` to insert an element n to a set S .
- (4) `member` to test whether an element n is in a set S .
- (5) set theoretic functions: `union` , `intersection` , `difference` .

4. TYPE SYSTEM OF ML

Type Inference and Type Checking

The two most notable features of ML type system:

1. It automatically **infers a type** for any expression.
2. It supports **polymorphism**.

Automatic Type Inference

As we have seen, ML programs do not require type declarations.

```
fun products f n = if n = 0 then 1
                  else f n * products f (n - 1)
```

which is equivalent to

```
(defun products (f n)
  (if (<= n 0) 1
      (* (funcall f n) (products f (- n 1)))))
```

But ML infers its type!

```
val products = fn : (int -> int) -> int -> int
```

This achieves complete static type checking without type annotation:

```
fun factorial n = products n (fn x => x);  
stdIn:1.19-1.40 Error: operator and operand don't agree  
operator domain: int  
operand: 'Z -> 'Z  
in expression: products n ((fn x => x))
```

This is in contrast to untyped language like LISP:

```
- (defun factorial (n)  
      (products n #'(lambda (x) x)))
```

factorial

⋮

```
(... (factorial 4) ...)
```

Wrong type argument: integer-or-marker-p (lambda (x) x)

Examples of type errors:

- `3 * 3.14`
- `fun f1 x = (x 1, x true)`
- `fun f2 x y = if true then x y else y x`
- `fun f3 x = x x`

Polymorphism

A program has many different types.

```
fun id x = x
```

id has infinitely many types such as

```
int -> int
```

or

```
string -> string.
```

or any other types of the form $\tau \rightarrow \tau$.

ML infers a type that represent all the possible types of a given program.

```
val id = fn : 'a -> 'a
```

where 'a is a *type variable* representing arbitrary types.

Type variables are *instantiated* when the program is used.

- `id 21;`

val it = 21 : int

- `id "Standard ML";`

val it = "Standard ML" : string

- `id products;`

val it = fn : (int -> int) -> int -> int

- `fn x => id id x`

val it = fn : 'a -> 'a

More examples:

- `fn x => id id x;`

val it = fn : 'a -> 'a

- `fun twice f x = f (f x);`

val twice = fn : ('a -> 'a) -> 'a -> 'a

```
- twice cube 2;  
val it = 512 : int  
- twice (fn x => x ^ x) "ML";  
val it = "MLMLMLML" : string  
- fn x => twice twice x;  
val it = fn : ('a -> 'a) -> 'a -> 'a  
- it (fn x => x + 1) 1;  
val it = 5 : int
```

ML infers a polymorphic type by computing a **most general solution** of type constraints.

Let us trace the process for `twice` ML proceeds roughly as follows.

1. Assign types to each sub-expressions

$$\text{fun } \underline{\text{twice}}_{\tau_1} \underline{\text{f}}_{\tau_2} \underline{\text{x}}_{\tau_3} = \underline{\text{f } (\text{f } \text{x})}_{\tau_4 \tau_5};$$

2. Since `twice` is a function that takes `f` and `x`, we must have:

$$\tau_1 = \tau_2 \rightarrow \tau_3 \rightarrow \tau_5$$

3. For $\underline{\text{f } \text{x}}_{\tau_4}$ to be type correct, we must have;

- (1) `f`'s type τ_2 must be a function type of the form $\alpha \rightarrow \beta$
- (2) α must be equal to `x`'s type τ_3 ,
- (3) β must be equal to the result type τ_4 of `(f x)`.

So we have the following equation:

$$\tau_2 = \tau_3 \rightarrow \tau_4$$

4. Similarly, for $\underline{f(x)}_{\tau_4\tau_5}$ to be type correct, we must have the equation:

$$\tau_2 = \tau_4 \rightarrow \tau_5$$

5. The desired type is a most general solution of the following type equations:

$$\tau_1 = \tau_2 \rightarrow \tau_3 \rightarrow \tau_5$$

$$\tau_2 = \tau_3 \rightarrow \tau_4$$

$$\tau_2 = \tau_4 \rightarrow \tau_5$$

One such solution is

$$\tau_1 = (\tau_3 \rightarrow \tau_3) \rightarrow \tau_3 \rightarrow \tau_3$$

Explicit Type Declaration

You can specify types as in:

```
- fun intTwice f (x:int) = f (f x);  
val intTwice = fn : (int -> int) -> int -> int
```

or

```
fun intTwice (f:int -> int) x = f (f x)  
fun intTwice f x = f (f x) : int  
fun intTwice f x : int = f (f x)
```

Type specification is weaker than function application, so the last example means

```
fun ((intTwice f) x):int = f (f x).
```

Type specification can be polymorphic

```
- fun higherTwice f (x:'a -> 'a) = f (f x);
```

```
val higherTwice = fn :
```

```
(('a -> 'a) -> 'a -> 'a) -> ('a -> 'a) -> 'a -> 'a
```

However, you cannot specify a more general type than the actual type.

Overloaded Identifiers

Some system defined identifiers have multiple definitions, and disambiguated by the context.

- `1 < 2;`

val it = true : bool

- `"dog" < "cat";`

val it = false : bool

- `fun comp x y = x < y;`

val comp = fn : int -> int -> bool

If there is no context to disambiguate, then the default is chosen.

Some of overloaded identifiers:

id	type scheme	possible t	default
div	$t * t \rightarrow t$	word,int	int
mod	$t * t \rightarrow t$	word,int	int
+, -	$t * t \rightarrow t$	word,real,int	int
<, >, <=, >=	$t * t \rightarrow \text{bool}$	word,real,int,string,char	int

Value Polymorphism

Currently, polymorphism is restricted to values:

$$V ::= c \mid x \mid (V, \dots, V) \mid \text{fn } p \Rightarrow e$$

So

```
(fn x => x, fn y => y);  
it = poly-record : ('a -> 'a) * ('b -> 'b)
```

is OK, but

```
- fun f n x = (n, x);  
val f = fn : 'a -> 'b -> 'a * 'b
```

```
- f 1;
```

stdIn:21.1-21.4 Warning: type vars not generalized because of value restriction are instantiated to dummy types (X1,X2,...)

```
val it = fn : ?.X1 -> int * ?.X1
```

Note on “Value Polymorphism”

This is a “theoretical compromise”, and is not particularly nice.

There are several alternative solutions. One is to use

rank 1 polymorphism

where one can do the following

```
- fun f n x = (n,x);  
val f = fn : ['a.'a -> ['b.'b -> 'a * 'b]]  
- f 1;  
val it = fn : ['a.'a -> int * 'a]
```

We will come back to this point later.

Equality Types

Equality function “=” tests whether two expressions have the same **value** or not. However,

we cannot compute equality of functions or reals.

So “=” is restricted to those types on which equality is computable.

- op =;

*val it = fn : 'a * 'a -> bool*

where 'a is a **equality type variable** ranging over **equality types**

$\delta ::= int \mid bool \mid char \mid string \mid \delta * \dots * \delta \mid ref \tau$

ref τ is a reference type of τ we shall learn later.

Exercise Set (3)

1. For each of the following expressions, if it is type correct then infer its most general type, and if it does not have any type then explain briefly the cause of the type error.

(1) `fun S x y z = (x z) (y z)`

(2) `fun K x y = x`

(3) `fun A x y z = z y x`

(4) `fun B f g = f g g`

(5) `fun C x = x C`

(6) `fun D p a b = if p a then (b,a) else (a,b)`

2. What are the type of the following expressions?

`f x = f x;`

`f 1;`

3. Explain the type and the behavior of the following function.

```
local
  fun K x y = x
in
  fun f x = K x (fn y => x (x 1))
end
```

4. As you can see above, it is possible to constrain the type of expression without using explicit type declaration. Let τ be a type not containing any type variable, and suppose E is an expression of type τ .

- (1) Let exp be an expression whose type is more general than that of E . Give an expression whose behavior is the same as that of exp but have the type τ .
- (2) Define a function that behaves as the identity function and its most general type is $\tau \rightarrow \tau$.

5. Functions can represent any data structures, including integers. Let us do some arithmetic using functions. Consider the `twice` again:

```
val twice = fn f => fn x => f (f x)
```

This represents the notion of “doing something *two* times”.

To verify this, we can see by applying it as

```
- twice (fn x => "*" ^ x) "";  
val it = "**" : string  
- twice (fn x => "<" ^ x ^ ">") "";  
val it = "<<>>" : string
```

and of course

```
- twice (fn x => x + 1) 0;  
val it = 2 : int
```


So we can consider **twice** as (a representation of) the number **2**!

So we can **define** numbers as

```
val one = fn f => fn x => f x
val two = fn f => fn x => f (f x)
val three = fn f => fn x => f (f (f x))
val four = fn f => fn x => f (f (f (f x)))
      :
```

and a utility function

```
fun show n = n (fn x => x + 1) 0
```

Let us call these functional representation *numerals*.

In this exercise, we define various operations on natural numbers based on this idea.

- (1) Define a numeral for the number zero.
- (2) Define a function `succ` that represents “add one” function. For example, it should behave as:

- `show(succ one);`

- val it = 2 : int*

- (3) Define functions `add`, `mul`, and `exp` to compute addition, multiplication and exponentiation. For example, we should have:

- `show(add one two);`

- val it = 3 : int*

- `show(mul (add one two) three);`

- val it = 9 : int*

- `show(exp two three);`

- val it = 8 : int*

PREDEFINED DATA TYPES

Unit Type

`unit` type contain `()` as its only value. It is used for functions whose return values are not important:

```
val use : string -> unit
val print : string -> unit
```

For example:

```
- use "emptyFile";
  val it = () : unit
- print "Hi!";
  Hi!val it = () : unit
```

Other functions related to `unit` are:

`infix 0 before`

`val before : 'a * unit -> 'a`

`val ignore : 'a -> unit`

For example:

- `1 before print "One\n";`

One

val it = 1 : int

- `ignore 3;`

val it = () : unit

Booleans : eqtype bool

`bool` type contains `true` and `false`.

The following negation function is defined:

```
val not : bool -> bool
```

In addition, the following special forms are provided:

`exp1 andalso exp2` (conjunction)

`exp1 orelse exp2` (disjunction)

`if exp then exp1 else exp1` (conditional)

These special forms suppress unnecessary evaluation.

- `exp1 andalso exp2` evaluates `exp2` **only if `exp1` is true.**
- `exp1 orelse exp2` evaluates `exp2` **only if `exp1` is false.**
- `if exp1 then exp2 else exp3` evaluate **only one of `exp2` or `exp3` and not both.**

Integers: eqtype int

`int` represents 2's complement representations of integers in $-2^{30} \leq n \leq 2^{30} - 1$. One bit less than in other languages is current ML's another defect.

Integer literals

- usual decimal forms e.g. `123` etc.
- hexadecimal notations of the form `0xnnnn` where n is digit (0 9) or letters from a (or A) to f (or F).

Negative literals are written as `~123`:

- `123`;

val it = 123 : int

- `~0x10`;

val it = ~16 : int

Primitive operations on integers.

```
exception Overflow           (overflow exception)
exception Div                (division by zero)
val ~ : int -> int          (negation)
val * : int * int -> int
val div : int * int -> int
val mod : int * int -> int
val + : int * int -> int
val - : int * int -> int
val > : int * int -> bool
val >= : int * int -> bool
val < : int * int -> bool
val <= : int * int -> bool
val abs : int -> int
```


Reals : type real

`real` is type of real (rational) numbers in floating point representations.

real literals

- digit sequence containing decimal points e.g. `3.14`
- scientific expression e.g. `xEn` for $x \times 10^n$.

- `3.14;`

val it = 3.14 : real

- `val C = 2.9979E8`

val it = 299790000.0 : real

```
val ~ : real -> real           (negation)
val + : (real * real) -> real
val - : (real * real) -> real
val * : (real * real) -> real
val / : (real * real) -> real
val > : (real * real) -> bool
val < : (real * real) -> bool
val >= : (real * real) -> bool
val <= : (real * real) -> bool
val abs : real -> real
val real : int -> real
val floor : real -> int
val ceil : real -> int
val round : real -> int
val trunc : real -> int
```

Some simple examples:

```
- val a = 1.1 / 0.0;
```

```
val a = inf : real
```

```
- a * ~1.0;
```

```
val it = ~inf : real
```

```
- a / it;
```

```
val it = nan : real
```

where

- **nan** : “not a number” constant
- **inf** : infinity

Characters : eqtype char

ASCII representation of characters.

Character literals: `#"c"` where *c* may be one of

`\a` warning(ASCII 7)
`\b` backspace(ASCII 8)
`\t` horizontal tab(ASCII 9)
`\n` new line(ASCII 10)
`\v` vertical tab(ASCII 11)
`\f` home feed (ASCII 12)
`\r` carriage return(ASCII 13)
`\^c` control character *c*
`\"` character "
`\\` character \
`\ddd` the character whose code is *ddd* in decimal

Operations on characters:

```
exception Chr
```

```
val chr : int -> char
```

```
val ord : char -> int
```

```
val str : char -> string
```

```
val <= : char * char -> bool
```

```
val < : char * char -> bool
```

```
val >= : char * char -> bool
```

```
val > : char * char -> bool
```

Strings : eqtype string

String literals : "" which may contain special character literals and \.

Multiple line notation:

```
- "This is a single \  
  \string constant.";  
val it = "This is a single string constant." : string
```

```
exception Substring
val size : string -> int
val substring : string * int * int -> string
val explode : string -> char list      (to a list of characters)
val implode : char list -> string      (from a list of characters)
val concat : string list -> string
val <= : string * string -> bool
val < : string * string -> bool
val >= : string * string -> bool
val > : string * string -> bool
val ^ : string * string -> string      (concatenation)
val print : string -> unit
```

```
- "Standard ML";  
val it = "Standard ML" : string  
- substring(it,9,2);  
val it = "ML" : string  
- explode it;  
val it = [#"M",#"L"] : char list  
- map (fn x => ord x + 1) it;  
val it = [78,77] : int list  
- map chr it;  
val it = [#"N",#"M"] : char list  
- implode it;  
val it = "NM" : string  
- it < "ML";  
val it = false : bool
```


Programming Example

Problem: find a maximal common substring of two strings s_1 and s_2 .

Data representation:

Represent a common substring of s_1 and s_2 as $(start_1, start_2, l)$.
 $start_1$ and $start_2$ are the starting positions of s_1 and s_2

We search all the possible pairs $(start_1, start_2)$ and find a maximal l .

Search strategy:

Maintaining the maximum substring $(start_1, start_2, max)$ found so far, and update this information during the search.

Procedure:

1. Set the starting position $(from_1, from_2)$ to $(0, 0)$, and set the maximum common substring to $(start_1, start_2, max)$ to $(0, 0, 0)$.
2. Repeat the following process.
 - 2.1. If the position $(from_1, from_2)$ is out of the strings, then we are done. Return the maximum substring $(start_1, start_2, max)$ so far found as the result.
 - 2.2. If $(from_1, from_2)$ is within the strings, then computes the length of the longest substring starting from this position.
 - 2.3. If $n > max$ then update $(start_1, start_2, max)$ to $(from_1, from_2, n)$.
 - 2.4. Update the starting position $(from_1, from_2)$ to the next position, and continue.

```

fun match s1 s2 =
  let val maxIndex1 = size s1 - 1
      val maxIndex2 = size s2 - 1
      fun nextStartPos (i,j) = ...
      fun findMatch (from1,from2) (start1,start2,max) =
          if from1 > maxIndex1 orelse from2 > maxIndex2 then
              (start1,start2,max)
          else let fun advance n = ...
                  val newSize = advance 0
                  in if max < newSize then
                      findMatch (nextStartPos(from1,from2))
                                (from1,from2,newSize)
                    else findMatch (nextStartPos(from1,from2))
                                (start1,start2,max)
                  end
          in findMatch (0,0) (0,0,0) end
  end

```

RECORDS

Record Types and Record Expressions

Syntax of record types

$$\{l_1 : \tau_1, \dots, l_n : \tau_n\}$$

where

- l_1, \dots, l_n are pairwise distinct labels,
- a label l_i can be either an identifier or a numbers, and
- τ_1, \dots, τ_n can be any types.

Example

```
type malt = {Brand:string, Distiller:string,  
            Region:string, Age:int}
```

Syntax of record expressions

$$\{l_1 = exp_1, \dots, l_n = exp_n\}$$

where exp_i can be any expression.

Typing rule for records:

$$\frac{exp_1 : \tau_1 \quad \dots \quad exp_n : \tau_n}{\{l_1 = exp_1, \dots, l_n = exp_n\} : \{l_1 : \tau_1, \dots, l_n : \tau_n\}}$$

```
- val myMalt= {Brand = "Glen Moray",  
              Distiller = "Glenlivet",  
              Region = "the Highlands", Age = 28};
```

```
val myMalt = {Age = 28, Brand = "Glen Moray",  
             Distiller = "Glenlivet",  
             Region = "the Highlands" }  
: {Age : int, Brand : string, Distiller : string, Region : string}
```

According to our principle:

expressions can be freely combined as far as they are type correct.

records are freely combined with any other constructs:

```
- fun createGlen'sMalt (name,age) =  
    {Brand = name, Distiller = "Glenlivet",  
     Region = "the Highlands", Age = age};  
val createGlen'sMalt =  
    fn : 'a * 'b -> {Age:'b, Brand:'a, Distiller:string, Region:string}
```

Operations on Records

#l e extract the *l* field of *e*.

```
- #Distiller myMalt;
```

```
val it = "Glenlivet" : string
```

```
- fun oldMalt (x:{Brand:'a, Distiller:'b,  
                Region:'c, Age:int}) =
```

```
    #Age x > 18;
```

```
val oldMalt = fn : {Age:int, Brand:'a, Distiller:'b, Region:'c} -> bool
```

```
- oldMalt myMalt;
```

```
val it = true : bool
```


Functions with record patterns of the form:

$$\{l_1 = pat_1, \dots, l_n = pat_n\}$$
$$\{l_1 = pat_1, \dots, l_n = pat_n, \dots\}$$

extract record fields.

```
- fun oldMalt {Brand, Distiller, Region, Age} = Age > 18  
val oldMalt = fn : {Age:int, Brand:'a, Distiller:'b, Region:'c} -> bool
```

```
- val {Brand = brand, Distiller = distiller,  
      Age = age, Region = region} = myMalt;  
val age = 28 : int  
val brand = "Glen Moray" : string  
val distiller = "Glenlivet" : string  
val region = "the Highlands" : string  
- val {Region = r, ...} = myMalt;  
val r = "the Highlands" : string  
- val {Region, ...} = myMalt;  
val Region = "the Highlands" : string  
- val distiller = (fn {Distiller,...} => Distiller) myMalt;  
val distiller = "Glen Moray" : string  
- fun getRegion ({Region, ...}:malt) = Region;  
val getRegion = fn : {Age:'a, Brand:'b, Distiller:'c, Region:'d } -> 'd  
- getRegion myMalt;  
val it = "the Highlands" : string
```

Typing Restriction

The Current ML implementation cannot infer a polymorphic type for function with record operations. So

```
fun name {Name = x, Age =a} = x
```

is ok but

```
fun name x = #Name x
```

*stdIn:17.1-17.21 Error: unresolved flex record
(can't tell what fields there are besides #name)*

Note: This is a defect of the current ML.

In the language we are developing, you can do

```
- fun name x = #Name x;
```

```
val name : ['a,'b.'a#Name:'b -> 'b]
```

Tuples

Tuples such as

```
- val p = (2,3) ;  
val p = (2,3) : int * int  
- fun f (x,y) = x + y;  
val f = fn : int * int -> int  
- f p ;  
val it = 5 int
```

are special case of records

tuple notations	the corresponding record expressions
$(exp_1, exp_2, \dots, exp_n)$	$\{1=exp_1, 2=exp_2, \dots, n=exp_n\}$
$\tau_1 * \tau_2 * \dots * \tau_n$	$\{1:\tau_1, 2:\tau_2, \dots, n:\tau_n\}$
$(pat_1, pat_2, \dots, pat_n)$	$\{1=pat_1, 2=pat_2, \dots, n=pat_n\}$

Indeed you can write:

- #2 p;

val it = 3 : int

- val {1=x,2=y} = p;

val x = 2

val y = 3

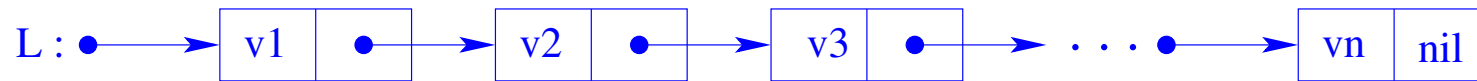
- f {1=1,2=2};

val it = 3 : int

PROGRAMMING WITH LISTS

List Structure

A list is a finite sequence of values connected by pointers:



From this structure, one can see the following properties:

1. A list is represented by a pointer, irrespective of its length.
2. The empty list is a special pointer called `nil`.
3. Elements in a list is accessed from the top by traversing the pointers.
4. Removing the top element from a list results in a list; adding an element to an list results in a list.

Mathematical Understanding of Lists

A list can be regarded as a nested pair of the form

$$(v_1, (v_2, \dots (v_n, \text{nil}) \dots))$$

Let A be the set from which elements v_1, v_2, \dots, v_n are taken. Also let Nil to be the set $\{\text{nil}\}$. Define cartesian product $A \times B$ of two sets A, B as

$$A \times B = \{(a, b) | a \in A, b \in B\}$$

Then the set of n elements lists can be the following set:

$$\underbrace{A \times (A \times (\dots (A \times Nil) \dots))}_{n \text{ 個の } A}$$

So the set of all finite lists can be given as a solution to the following equation on sets:

$$L = Nil \cup (A \times L)$$

We can obtain the solution in the following steps:

1. Define a sequence of sets X_i as follows:

$$\begin{aligned}X_0 &= Nil \\X_{i+1} &= X_i \cup (A \times X_i)\end{aligned}$$

If the above equation has a solution for L , then we can verify that for each i

$$X_i \subseteq L$$

2. Using this sequence of sets. we define

$$X = \bigcup_{i \geq 0} X_i$$

It is easily verify that this set satisfies the equation, and therefore a solution of the equation.

List Type : τ list

τ list is a type of lists of element of type τ where τ can be any type. For example:

- `int list` : integer lists.
- `int list list` : lists of integer lists.
- `(int -> int) list` : lists of integer functions.

Using these constructors, list of elements v_1, \dots, v_n is written as

`$v_1 :: v_2 :: \dots :: v_n :: \text{nil}$`

The following shorthand (syntactic sugar) is also supported.

`[] \implies nil`

`[$exp_1, exp_2, \dots, exp_n$] $\implies exp_1 :: exp_2 :: \dots :: exp_n :: \text{nil}$`

Simple list expressions:

- `nil`;

val it = [] : 'a list

- `1 :: 2 :: 3 :: nil`;

val it = [1,2,3] : int list

- `[[1], [1,2], [1,2,3]]`;

val it = [[1],[1,2],[1,2,3]] : int list list

- `[fn x => x]`;

val it = [fn] : ('a -> 'a) list

Simple List Creation

An example: create a list of $[1, 2, 3, 4, \dots, n]$ for a give n .

The first try:

```
- fun mkList n = if n = 0 then nil  
                  else n :: f (n - 1);
```

```
val mkList = fn : int -> int list
```

```
- mkList 3;
```

```
val it = [3,2,1] : int list
```

The second try

```
- fun mkList n m = if n = 0 then nil  
                  else (m - n) :: f (n - 1) m
```

```
val mkList = fn : int -> int -> int list
```

```
- mkList 3 4;
```

```
val it = [1,2,3] : int list
```

A better solution:

```
- fun mkList n =  
    let  
        fun f n L = if n = 0 then L  
                    else f (n - 1) (n::L)  
    in  
        f n nil  
    end;  
val mkList = fn : int -> intlist  
- mkList 3;  
val it = [1,2,3] : int list
```

This is clearer and efficient.

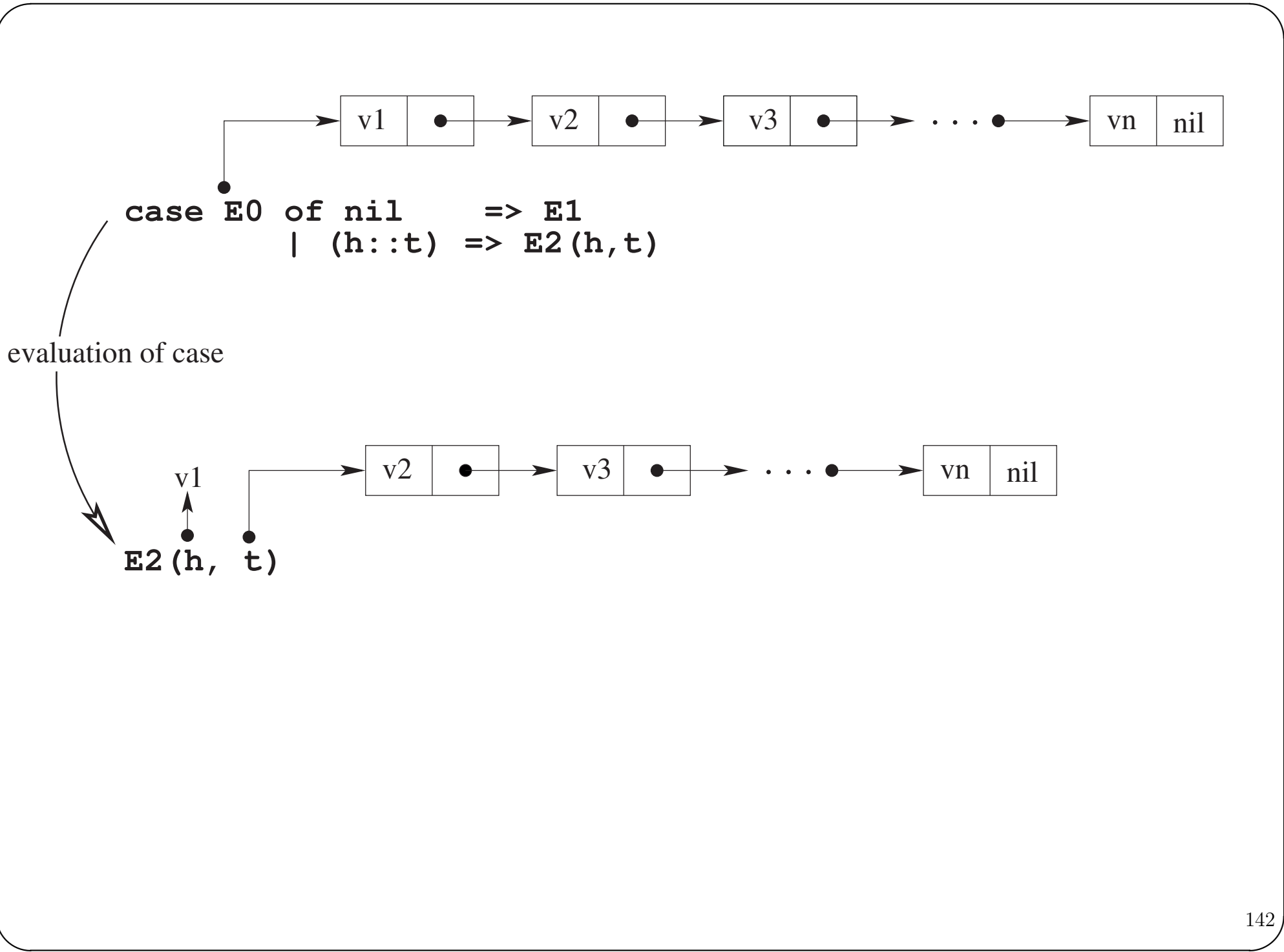
Decomposing a List with Patterns

The basic operation on a list is pattern matching:

```
case  $E_0$  of nil =>  $E_1$ 
      | (h::t) =>  $E_2(h,t)$ 
```

which performs the following actions

1. evaluate E_0 and obtain a list L
2. if L is `nil` then evaluate E_1 .
3. if L is of the form `h::t`, then evaluate $E_2(h, t)$ under the binding where `h` is the head of L and `t` is the tail of L .



```
- case nil of nil => 0 | (h::t) => h;
```

```
val it = 0 : int
```

```
- case [1,2] of nil => 0 | (h::t) => h;
```

```
val it = 1 : int
```

A simple program using pattern matching:

```
fun length L = case L of nil => 0  
                | (h::t) => 1 + length t;
```


The general form of pattern matching

`case exp of pat1 => exp1 | pat2 => exp2 | ... | patn => expn`

where pat_i is a pattern consisting of

- constants,
- variables,
- data structure construction (e.g. list). For lists, we can include patterns of the forms:
 - `nil`,
 - `pat1::pat2`,
 - `[pat1, ..., patn]`

```
fun zip x = case x of (h1::t1,h2::t2) =>
                    (h1,h2) :: zip (t1,t2)
                    | _ => nil
fun unzip x = case x of (h1,h2)::t =>
                    let val (L1,L2) = unzip t
                    in (h1::L1,h2::L2)
                    end
                    | _ => (nil,nil)
```

where “_” is the anonymous pattern matching any value.

Patterns can overlap and can be non-exhaustive.

```
fun last L = case L of [x] => x
                 | (h::t) => last t
```

Useful shorthand:

```
fun f pat1 = exp1  
  | f pat2 = exp2  
  ⋮  
  | f patn = expn
```

⇒

```
fun f x = case x of  
    pat1 => exp1  
  | pat2 => exp2  
  ⋮  
  | patn => expn
```

```
fn pat1 => exp1  
  | pat2 => exp2  
  ⋮  
  | patn => expn
```

⇒

```
fn x => case x of  
    pat1 => exp1  
  | pat2 => exp2  
  ⋮  
  | patn => expn
```

Some examples:

```
fun length nil = 0  
  | length (h::t) = 1 + length t
```

```
fun fib 0 = 1  
  | fib 1 = 1  
  | fib n = fib (n - 1) + fib (n - 2)
```

Built-in Functions for Lists

```
infixr 5 @  
exception Empty  
val null : 'a list -> bool  
val hd : 'a list -> 'a  
val tl : 'a list -> 'a list  
val @ : 'a list * 'a list -> 'a list  
val rev : 'a list -> 'a list  
val length : 'a list -> int  
val map : ('a -> 'b) -> 'a list -> 'b list
```

```
- map (fn x => (x,x)) [1,2,3,4];  
val it = [(1,1),(2,2),(3,3),(4,4)] : int * int list
```

General List Processing Function

Consider the function definition:

```
fun sumList nil = 0
  | sumList (h::t) = h + sumList t
```

This can be regarded as a special case of the following procedure.

1. If the give list is `nil` then return some predefined value Z .
2. If the list is of the form $h::t$ then compute a value for t and obtain the result R .
3. Compose the final result from h and R by applying some function f .

Indeed, if we take $Z = 0$ and $f(h, R) = h + R$ then we get `sumList` above.

The following higher-function perform this general computation.

```
fun foldr f Z nil = Z
  | foldr f Z (h::t) = f(h,foldr f Z t)
```

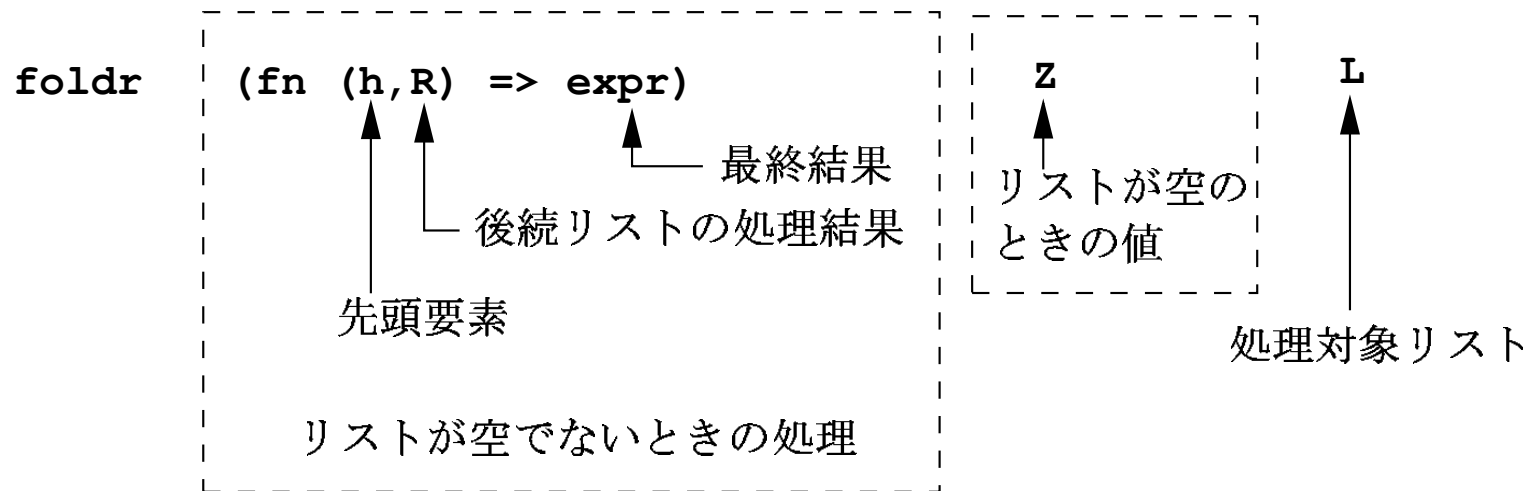
Individual list processing functions can be obtained by specifying f and Z as

```
foldr (fn (h,R) => exp) Z
```

where

- Z is the value for `nil`.
- `fn (h,R) => exp` computes the final result form the result R of the tail list and the head.

```
- val sumList = foldr (fn (h,R) => h + R) 0 ;  
val sum : int list -> int
```



Programming Example

The transitive closure R^+ of a given finite relation R is defined as:

$$R^+ = \{(x, y) \mid \exists n \exists z_1 \cdots \exists z_n x = z_1, y = z_n, (z_1, z_2) \in R, \cdots, (z_{n-1}, z_n) \in R\}$$

We develop a program to compute the transitive closure of R in the following steps.

1. Rewrite the definition of R^+ to a constructive one.

Considering n of elements in R^+ , R^+ can be re-written as:

$$R^+ = R^1 \cup R^2 \cup \cdots \cup R^N$$

where N can be taken as the largest possible one.

2. Give a recursive definition of R^k .

$$\begin{aligned} R^1 &= R \\ R^k &= R \times R^{k-1} \quad (k \geq 2) \end{aligned}$$

where \times is the following operation

$$R \times S = \{(x, y) \mid \exists a (x, a) \in R, (a, y) \in S\}$$

3. Write a function `timesRel` and `powerRel` to compute $R \times S$ and R^n respectively.

```
fun timesRel (R,S) =
  foldr (fn ((x,a),r) =>
    foldr (fn ((b,y),rs) =>
      if a=b then (x,y)::rs
      else rs)
    r S)
  nil R;
fun powerRel r 1 = r
  | powerRel r n = timesRel (r,powerRel r (n - 1));
```

4. Use `accumulate` to define R^+ .

$R^+ = R^1 \cup \dots \cup R^N$ is $\Lambda_{k=1}^N (h, f, z) = h(f(N), \dots, h(f(1), z) \dots)$
with h to be the set union and $f(k) = R^k$, $z = \emptyset$.

```
fun tc R =  
    accumulate (op @) nil (powerRel R) (length R)
```

```
- tc [(1,2), (2,3), (3,4)];
```

```
val it = [(1,4), (1,3), (2,4), (1,2), (2,3), (3,4)]: (int * int) list
```

Exercise Set (4)

1. Define the following functions directly by recursion.
 - (1) `sumList` to compute the sum of a given integer list.
 - (2) `member` to test whether a given element is in a given list.
 - (3) `unique` to remove duplicate elements from a given list.
 - (4) `filter` that takes a function P of type `'a -> bool` and a list of type `'a list` and return the list of elements for which P returns true.
 - (5) `flatten` to convert a list of lists to a list as follows:
 - `flatten [[1], [1,2], [1,2,3]] ;`
`val it = [1,1,2,1,2,3] : int list`
 - (6) `splice` that takes a list L of strings and a string d and return the string obtained by concatenating the strings in L using d as a delimiter. For example, you should have:

```
- splice (["", "home", "ohori", "papers", "mltext"], "/");  
val it = "/home/ohori/papers/mltext" : string
```

2. Define the following functions using `foldr`.

(1) Each of the following `map`, `flatten`, `member`, `unique`, `prefixList`, `permutations`

(2) `forall` which takes a list and a predicate P (a function that return `bool`) and checks whether the list contains an element that is true of P , and

`exists` which takes a list and a predicate P (a function that return `bool`) and check whether all the elements of the list is true of P By definition, for any P , `exists P nil` is false and `forall P nil` is true.

2.1. `prefixSum` which computes the prefix sum of a given integer lists. Here, the prefix sum of $[a_1, a_2, \dots, a_n]$ is

$$[a_1, a_1 + a_2, \dots, a_1 + \dots + a_{n-1}, a_1 + \dots + a_n].$$

3. `foldr` performs the following computation

$$\text{foldr } f \ Z \ [a_1, a_2, \dots, a_n] = f(a_1, f(a_2, f(\dots, f(a_n, Z) \dots)))$$

SML also provides `foldl` that performs the following computation.

$$\text{foldl } f \ Z \ [a_1, \dots, a_{n-1}, a_n] = f(a_n, f(a_{n-1}, f(\dots, f(a_1, Z) \dots)))$$

(1) Give a definition of `foldl`.

(2) Define `rev` using `foldl`.

4. We say that (a representation of) a relation R is in *normal form* if R does not contain duplicate entry.

(1) Give an example of R in normal form such that `tc R` is not in normal form.

(2) Rewrite `tc` so that it always return a normal relation for any normal input.

Define the following functions on relations:

- (1) `isRelated` which test whether a given pair (a, b) is related in a given R .
- (2) `targetOf` which returns the set $\{x \mid (a, x) \in R\}$ for a given relation R and a given point a .
- (3) `sourceOf` which returns the set $\{x \mid (x, a) \in R\}$ for a given R and a .
- (4) `inverseRel` to compute the inverse R^{-1} of R .

DATATYPE DEFINITIONS

Datatype Statement Define a New Type

Example: Binary Trees

The set of binary trees over τ is defined inductively as:

1. The empty tree is a binary tree.
2. If v is a value of τ and T_1 and T_2 are binary trees over τ , then (v, T_1, T_2) is a binary tree.

This is defined as:

```
- datatype 'a tree =  
    Empty  
    | Node of 'a * 'a tree * 'a tree;  
datatype 'a tree = Empty | Node of 'a * 'a tree * 'a tree
```

After this definition, `Empty` and `Node` are used as data constructors.

- `Empty`;

val it = Empty : 'a tree

- `Node`;

*val it = fn : 'a * 'a tree * 'a tree -> 'a tree*

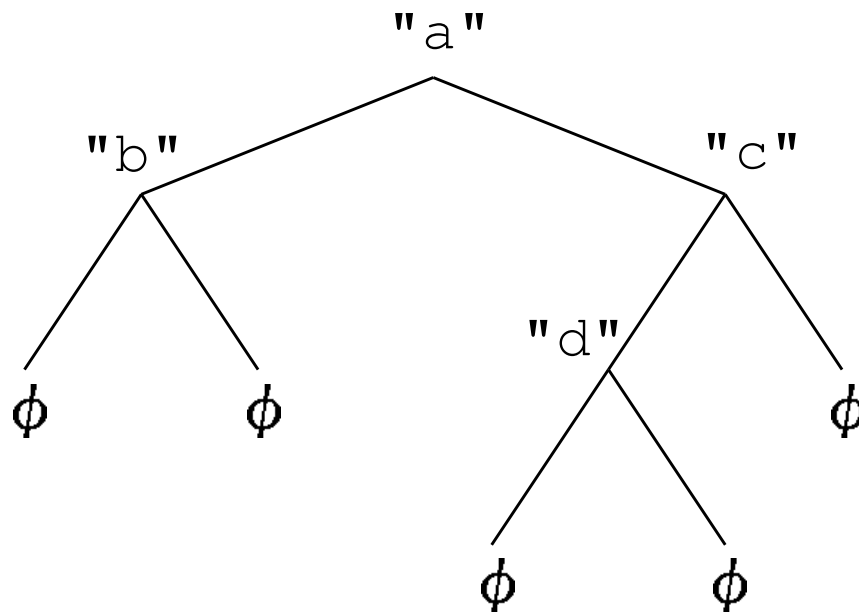
- `Node (1,Empty,Empty)`;

val it = Node (1,Empty,Empty) : int tree

- `Node ((fn x => x),Empty,Empty)`;

val it = Node (fn,Empty,Empty) : ('a -> 'a) tree

```
Node("a", Node("b", Empty, Empty),  
      Node("c", Node("d", Empty, Empty), Empty))
```



(∅ は空の木を表す)

The pre-order representation $a(b()())(c(d()())())$.

Constructing a binary tree from a string-encoded tree.

pre-order encoding of a tree

- Empty is represented as the empty string.
- Node (a, L, R) is represented as $a(S_L)(S_R)$ where S_L and S_R are pre-order representation of R and L .

This representation is obtained by traversing a tree in the following order:

1. the root ,
2. the left subtree ,
3. the right subtree.

We can write down a tree construction function from a pre-order encoding as:

```
fun fromPreOrder s =  
  let fun decompose s = ...  
        (* decompose a string regarding '(' and ')' as delimiters.*)  
  in if s = "" then Empty  
     else let val (root,left,right) = decompose s  
           in Node(root,fromPreOrder left,fromPreOrder right)  
        end  
end
```

`decompose` performs the following action.

- decompose "a(b()())(c(d()())())";

*val it = ("a", "b()()", "c(d()())()") : string * string * string*

```

fun decompose s =
  let fun searchLP s p = ...
        (* return the first left paren from the position  $p$  . *)
        fun searchRP s p n = ...
          (* return the position of the  $n$ th right paren from  $p$  *)
        val lp1 = searchLP s 0
        val rp1 = searchRP s (lp1+1) 0
        val lp2 = searchLP s (rp1+1)
        val rp2 = searchRP s (lp2+1) 0
    in (substring (s,0,lp1),
        substring (s,lp1+1,rp1-lp1-1),
        substring (s,lp2+1,rp2-lp2-1))
    end

```

The general structure of `datatype`

```
datatype typeSpec =  
    Con1 ⟨of type1⟩  
  | Con2 ⟨of type2⟩  
    ⋮  
  | Conn ⟨of typen⟩
```

- *typeSpec* specify the type to be defined
- the right hand side of = specify the type of each component

Using Data Structures with Patter Matching

In **case** expression of the form

case *exp* of *pat*₁ => *exp*₁ | *pat*₂ => *exp*₂ | ... | *pat*_n => *exp*_n

*pat*_{*i*} can contain:

1. variables ,
2. constants ,
3. any data constructors defined in **datatype** declarations,
4. anonymous pattern _


```
- case Node ("Joe", Empty, Empty) of Empty => "empty"  
                                     | Node (x, _, _) => x;
```

```
val it = "Joe" : string
```

Computing the height of a tree:

1. the height of the empty tree (Empty) is 0.
2. the height of a tree of the form Node(a, L, R) is $1 + \max(\text{the height of } R, \text{the height of } L)$

So we can code this as:

```
- fun height t =  
    case t of Empty => 0  
            | Node (_, t1, t2) => 1 + max(height t1, height t2)  
val height = fn : 'a tree -> int
```

Some example using pattern matching

```
fun height Empty = 0
  | height (Node(_,t1,t2)) = 1 + max(height t1, height t2)
```

```
fun toPreOrder Empty = ""
  | toPreOrder (Node(s,lt,rt)) =
    s ^ "(" ^ toPreOrder lt ^ ")"
    ^ "(" ^ toPreOrder rt ^ ")"
```

System Defined Data Types

Lists

```
infix 5 ::  
datatype 'a list = nil | :: of 'a * 'a list
```

Blooleans

```
datatype bool = true | false
```

Special forms for bool are defined as:

```
exp1 andalso exp2            $\implies$  case exp1 of true => exp2  
                                | false => false  
exp1 orelse exp2            $\implies$  case exp1 of false => exp2  
                                | true => true  
if exp then exp1 else exp2  $\implies$  case exp of true => exp1  
                                | false => exp2
```

Other system defined types:

```
datatype order = EQUAL | GREATER | LESS
```

```
datatype 'a option = NONE | SOME of 'a
```

```
exception Option
```

```
val valOf : 'a option -> 'a
```

```
val getOpt : 'a option * 'a -> 'a
```

```
val isSome : 'a option -> bool
```

Programming Examples : Dictionary

```
type 'a dict = (string * 'a) tree
val enter : string * 'a * 'a dict -> 'a dict
val lookUp : string * 'a dict -> 'a option
```

- enter returns a new dictionary by extending with a given entry.
- lookUp returns the value of a given key (if exists).

To implement a dictionary efficiently, we use a binary tree as a [binary search tree](#) where the following property hold: for every node of the form $\text{Node}(key, L, R)$

1. any key in L is smaller than key , and
2. any key in R is larger than key .

```
fun enter (key,v,dict) =  
  case dict of  
    Empty => Node((key,v),Empty,Empty)  
  | Node((key',v'),L,R) =>  
    if key = key' then dict  
    else if key > key' then  
      Node((key',v'),L, enter (key,v,R))  
    else Node((key',v'),enter (key,v,L),R)
```

```
fun lookUp (key,Empty) = NONE  
  | lookUp (key,Node((key',v),L,R)) =  
    if key = key' then SOME v  
    else if key > key' then lookUp (key,R)  
    else lookUp (key,L)
```

Infinite Data Structure

Obviously, we can only deal with finite structure. So

```
fun fromN n = n :: (fromN (n+1));
```

is useless.

The key technique: **delay the evaluation until requested.**

the mechanism to delay evaluation : $fn () \Rightarrow exp$

Simple example of delaying evaluation:

```
fun cond c a b = if c then a () else b();  
val cond = fn : bool -> (unit -> unit)-> (unit -> unit)-> unit  
cond true (fn () => print "true") (fn () => print "false");
```

a datatype for infinite lists:

```
datatype 'a inflist =  
    NIL | CONS of 'a * (unit -> 'a inflist)
```

Examples:

```
fun FROMN n = CONS(n,fn () => FROMN (n+1));
```

```
- FROMN 1;
```

```
val it = CONS (1,fn) : int inflist
```

```
- val CONS(x,y) = it;
```

```
val x = 1 : int
```

```
val y = fn : unit -> int inflist
```

```
- y ();
```

```
val it = CONS (2,fn) : int inflist
```


Functions for inflist

```
fun HD (CONS(a,b)) = a
fun TL (CONS(a,b)) = b()
fun NULL NIL = true | NULL _ = false
```

Examples:

```
- val naturalNumbers = FROMN 0;
  val naturalNumbers = CONS (0,fn) : int inflist
- HD naturalNumbers;
  val it = 0 : int
- TL naturalNumbers;
  val it = (1,fn) : int inflist
- HD (TL(TL(TL it)));
  val it = 4 : int
```

How to design a program on `inlist`:

1. Design a program for ordinary lists using `hd`, `tl` and `null`.
2. Replace list processing primitives as:

`hd` \implies `HD`
`tl` \implies `TL`
`null` \implies `NULL`
`h::t` \implies `CONS(h,fn () => t)`

Example

```
fun NTH 0 L = HD L
  | NTH n L = NTH (n - 1) (TL L)
int -> 'a inlist -> -> 'a
- NTH 100000000 naturalNumbers;
val it = 100000000 : int
```

A more complicated example:

For finite lists:

```
fun filter f l = if null l then nil
                 else if f (hd l) then
                     hd l :: (filter f (tl l))
                 else filter f (tl l);
```

For infinite lists:

```
fun FILTER f l = if NULL l then NIL
                 else if f (HD l) then
                     CONS(HD l,fn () => (FILTER f (TL l)))
                 else FILTER f (TL l);
```

Sieve of Eratosthenes:

For the infinite list of integers starting from 2, repeat the following:

1. Remove the first number and output it.
2. Remove all the elements that are divisible by the first number.

An example code:

```
fun SIFT NIL = NIL
  | SIFT L =
    let val a = HD L
    in CONS(a, fn () =>
              SIFT (FILTER (fn x => x mod a <> 0)
                        (TL L)))
    end
```

```
- val PRIMES = SIFT (FROMN 2);  
val PRIMES = CONS(2,fn) : int inflist  
- TAKE 20 PRIMES;  
val it = [2,3,5,7,11,13,17,19,23,29,31,37,41,43,47,53,59,61,67,71]  
      : int list;  
- VIEW (10000,10) PRIMES;  
val it = [104743,104759,104761,104773,104779,104789,  
          104801,104803,104827,104831] : int list
```

IMPERATIVE FEATURES

References

'a ref type and ref data constructor

```
infix 3 :=
```

```
val ref : 'a -> 'a ref
```

```
val ! : 'a ref -> 'a
```

```
val := : 'a ref * 'a -> unit
```

Simple examples:

```
- val x = ref 1;
```

```
val x = ref 1 : int ref
```

```
- !x;
```

```
val it = 1 : int
```

```
- x:=2;
```

```
val it = () : unit
```

```
- !x;
```

val it = 2 : int

References are **imperative** data structure, for which the order of evaluation is significant.

The order of evaluation of ML

- In `let val $x_1 = exp_1 \cdots val x_n = exp_n$ in exp end`, ML evaluates exp_1, \dots, exp_n in this order and then evaluate exp .
- Tuples (exp_1, \dots, exp_n) and records $\{l_1 = exp_1, \dots, l_n = exp_n\}$ are evaluated left to right.
- For function applications $exp_1 exp_2$, ML first evaluates exp_1 to obtain a function $\text{fn } x \Rightarrow exp_0$, and then evaluates exp_2 to obtain the result v , and finally it evaluates exp_0 with x bound to v .

Constructs for controlling the order of evaluation:

- $(exp_1; \dots; exp_n)$
- exp_1 before exp_2
- while exp_1 do exp_2

Programming Example

`gensym` : a program to create a **new** name.

- It has type `gensym : unit -> string`.
- It returns a new name every time when it is called.
- It generates alphabet strings in the following order: "a" , "b" ,
... , "z" , "aa" , "ab" , ... , "az" , "ba" , ...

We use the following data:

- a reference data `state` to represent the last name generated.
- a function `next` to generate a representation of the next string from `state`.
- a function `toString` to convert `state` to the string it represents.

Then we can code the function as:

```
local
  val state = ...
  fun toString = ...
  fun next s = ...
in
  fun gensym() = (state:=next (!state);
                 toString (!state))
end
```

Internal representation of a string:

represent a string $s_n s_{n-1} \cdots s_1$ of length k by the reverse list $[ord(s_1), ord(s_2), \cdots, ord(s_n)]$ of character codes.

`next` “increments” this list as:

```
val state = ref nil : int list ref
fun next nil = [ord #"a"]
  | next (h::t) = if h = ord #"z" then
                    ord #"a" :: (next t)
                  else (h+1::t)
```

References and Referential Transparency

The basic principle of mathematical reasoning on programs:

the meaning of a program is determined by the meaning of its components

In other words,

the meaning of a program does not change when we replace some part of it with an equivalent one.

This property is called **referential transparency**, which is a special form of the basis of mathematical reasoning:

two equal expressions can substitute each other without affecting the meaning of a statement.

For example:

```
(fn x => x = x) exp
```

and

```
exp = exp
```

have the same meaning.

However, with references, ML does not have this property ,

```
- (fn x => x = x) (ref 1);
```

```
val it = true : bool
```

```
- ref 1 = ref 1;
```

```
val it = false : bool
```

References and Value Polymorphism

ref has type 'a -> 'a ref, but since ref *exp* is not a value expression, you cannot make a reference to a polymorphic function.

```
- ref (fn x => x);
```

stdIn:19.1-19.16 Warning: type vars not generalized because of value restriction are instantiated to dummy types (X1,X2,...)

```
val it = ref fn : (?..X1 -> ?..X1) ref
```

If we allow

```
ref (fn x => x) : ('a -> 'a) ref
```

then we get a problem such as:

```
val polyIdRef = ref (fn x => x);
```

```
polyIdRef := (fn x => x + 1);
```

```
(!polyIdRef) "You can't add one to me!";
```

Value polymorphism is introduced to prevent this kind of inconsistency.

Exception Handling

Exception : disciplined “goto”.

`exception` defines new exception.

`exception` *exnId*

`exception` *exnId* of τ

`raise` generates exception.

`raise` *exnId*

`raise` *exnId* *exp*

Example:

- exception A;

exception A

- fun f x = raise A;

val f = fn : 'a -> 'b

- fn x => (f 1 + 1, f "a" andalso true);

*val it = fn : 'a -> int * bool*

System defined exceptions

exception name	meaning
Bind	bind failure
Chr	illegal character code
Div	divide by 0
Empty	illegal usage of hd and th
Match	pattern matching failure
Option	empty option data
Overflow	
Size	array etc too big
Subscript	index out of range

exp handle *exnPat*₁ => *handler*₁
 | *exnPat*₂ => *handler*₂
 ⋮
 | *exnPat*_{*n*} => *handler*_{*n*}

Exception handling:

1. An exception condition is detected.
2. The system searches exception handlers in the reverse order of pending evaluation.
3. If it finds a handler, it tries matching the exception with exception patterns
4. If the system finds the matching pattern then it evaluate the corresponding hander and restart the normal evaluation.
5. If no matching pattern is found then the system aborts the evaluation and returns the top level.

```
- exception Undefined;  
exception Undefined  
- fun strictPower n m = if n = 0 andalso m = 0 then  
                        raise Undefined  
                        else power n m;  
val strictPower = fn : int -> int -> int  
- 3 + strict_power 0 0;  
uncaught exception Undefined  
- 3 + (strictPower 0 0 handle Undefined => 1);  
val it = 4 : int
```

Programming Example (1)

```
type 'a dict = (string * 'a ) tree
val enter : (string * 'a) * 'a dict -> 'a dict
val lookUp : string * 'a dict -> 'a option
exception NotFound
fun lookUp (key,Empty) = raise NotFound
  | lookUp (key,Node((key',v),L,R)) =
    if key = key' then v
    else if key > key' then lookUp (key,R)
    else lookUp (key,L)
val lookUp : string * 'a dict -> 'a
fun assoc (nil,dict) = nil
  | assoc ((h::t),dict) =
    (h, lookUp (h,dict)):: assoc (t,dict)
  handle NotFound => (print "Undefined key."; nil)
```

Programming Example (2)

```
fun lookAll key dictList =  
  let exception Found of 'a  
      fun lookUp key Empty = ()  
        | lookUp key (Node((key',v),L,R)) =  
          if key = key' then raise Found v  
          else if key > key' then lookUp key R  
            else lookUp key L  
    in (map (lookUp key) dictList; raise NotFound)  
      handle Found v => v  
    end
```

Exercise Set (5)

1. Complete the function `decompose`:

- `decompose "a(b()())(c(d()())())"`;

*val it = ("a", "b()()", "c(d()())()") : string * string * string*

2. In addition to pre-order encoding, there are also the following encodings for trees:

- post-order encoding

This is the string encoding obtained by traversing a tree in the following order:

- (1) the left subtree ,
- (2) the right subtree,
- (3) the root.

- in-order encoding

This is the string encoding obtained by traversing a tree in the following order:

- (1) the left subtree ,
- (2) the root,
- (3) the right subtree.

Write the following functions.

- `fromPostOrder` that constructs a tree from a given post-order representation.
- `fromInOrder` that constructs a tree from a given post-order representation.
- `toPostOrder` that return a post-order encoding of a given a tree.
- `toInOrder` that return a post-order encoding of a given a tree.

3. Define the following functions on binary trees.

- `nodes` that returns the total number of nodes in a given tree.
- `sumTree` that computes the sum of all the values in a given integer tree.
- `mapTree` : $(\text{'a} \rightarrow \text{'b}) \rightarrow \text{'a tree} \rightarrow \text{'b tree}$ that returns the tree obtained by applying a given function to each node value of a given tree.

4. Analogous to `foldr` for lists, let us define a higher-order function `treeFold` for recursive processing of trees.

It takes the following arguments:

- (1) a tree t to be processed,
- (2) a value z that should be returned if the given tree is the empty tree,

(3) a function f that computes the final result for a tree of the form $\text{Node}(x, L, R)$.

and should have the following structure and type:

```
- fun treeFold f z Empty = z
  | treeFold f z (Node (x,L,R)) = ...
  ...
```

*val treeFold = fn : ('a * 'b * 'b -> 'b) -> 'b -> 'a tree -> 'b*

(1) Complete the definition of `treeFold`.

(2) Re-define `nodes`, `sumTree`, and `mapTree` using `treeFold`.

5. Define the following function of type `'a list -> 'a option` :

(1) `car` that returns the head of a given list.

(2) `cdr` that returns the tail of a given list.

(3) `last` that returns the last element of a given list.

6. Write a function

`makeDict` : `(string * 'a) list -> 'a dict` that return a dictionary consisting of the data in a given list. Test the function `lookUp` using `makeDict`.

7. Define the following functions

```
type ('a,'b) dict = ('a * 'b) tree
val makeEnter : ('a * 'a -> order)
                -> 'a * 'b * ('a,'b) dict
                -> ('a,'b) dict
val makeLookUp : ('a * 'a -> order)
                -> 'a * ('a,'b) dict -> 'b
```

where `makeEnter` takes a function to compare two keys and returns a function that enters a key-value pair to a dictionary, and `makeLookup` takes a function to compare two keys and returns a function that

looks up a dictionary.

8. Define `enter` and `lookUp` functions for a dictionary of type `(int,string) dict` where keys are integers and values are strings. Test your functions.

9. Define `evenNumbers` of infinite list of even numbers from `naturalNumbers` using `FILTER`, and do some simple tests. For example, you should have the following:

- NTH 10000000 `evenNumbers`;

val it = 20000000 : int

10. Define the following functions on infinite lists:

- `DROP : int -> 'a inflist -> 'a inflist` that returns the list obtained from a given infinite list by removing the first n elements.

- `TAKE : int -> 'a inflist -> 'a list` that returns the list of the first n elements in a given infinite list.
- `VIEW : int * int -> 'a inflist -> 'a list` that returns the list of m elements starting from the n th the element in a given infinite list.

11. Complete the definition of `genSym`.

12. Define a function

```
makeGensym : char list -> unit -> string
```

that takes a list of characters and returns a function that generates a string in the order similar to `gensym` using the given characters. For example, `makeGensym ["S", "M", "L"]` will return a function that generates "S", "M", "L", "SS", "SM", "SL", "MS", "MM", "ML", "LS", ...

13. Change the definition of `enter` so that it raises an exception `DuplicateEntry` if the value being entered is already in a given tree.
14. Write a function that computes the product of all the elements in a given integer list. Your function should terminate the process as soon as it detects 0.

MODULE SYSTEM

Define a module using structure

```
structure id = struct
    various definitions
end
```

where definitions can contain:

- val declarations
- fun declarations
- exception declarations
- datatype declarations
- type declarations
- structure declarations

```

structure IntQueue = struct
  exception EmptyQueue
  type queue = int list ref
  fun newQueue() = ref nil : queue
  fun enqueue (item,queue) =
    queue := item :: (!queue)
  fun removeLast nil = raise EmptyQueue
    | removeLast [x] = (nil,x)
    | removeLast (h::t) =
      let val (t',last) = removeLast t
      in (h::t',last)
      end
  fun dequeue queue =
    let val (rest,last) = removeLast (!queue)
    in (queue:=rest; last)
    end
end
end

```

A module has a signature

The following signature (type information) is inferred for IntQueue:

```
structure IntQueue :  
  sig  
    type queue = int list ref  
    exception EmptyQueue  
    val dequeue : 'a list ref -> 'a  
    val enqueue : 'a * 'a list ref -> unit  
    val newQueue : unit -> queue  
    val removeLast : 'a list -> 'a list * 'a  
  end
```

Using a Module

Two ways to use a module:

1. Explicitly specifying structure name .

id_2 in a module named id_1 is called as " $id_1.id_2$ ". If structure definitions are nested, then specify the nested sequence as

$id_1.id_2 \cdots id_{n-1}.id_n.id$.

```
- val q = IntQueue.newQueue();
```

```
val q = ref [] : queue
```

```
- map (fn x => IntQueue.enqueue(x,q)) [1,3,5];
```

```
val it = [(),(),()] : unit list
```

```
- IntQueue.dequeue q;
```

```
val it = 1 : int
```

2. Using the open primitive

The effect of `open id` is to import all the component defined in the structure `id` to the current environment.

- `open IntQueue;`

opening IntQueue

type queue = int list ref

exception EmptyQueue

val newQueue : unit -> queue

*val enqueue : 'a * 'a list ref -> unit*

val dequeue : 'a list ref -> 'a

*val removeLast : 'a list -> 'a list * 'a*

- `dequeue();`

val it = 3 : int

Example of module programming: **functional queue** that replace IntQueue.

A functional queue = (*newItems* list , *oldItems* list)

- *newItems* : the elements recently added to the queue
- *oldItems* : the old elements that are removed soon.
- and the system maintain the invariant

entire queue = *newItems* @ the reversal of *oldItems*

so that if

$$\textit{newItems} = [a_1, \dots, a_n]$$

$$\textit{oldItems} = [b_1, \dots, b_m]$$

then

$$L = [a_1, \dots, a_n, b_m, \dots, b_1]$$

- enqueue adds a an element to the front of *newItems* list.
- dequeue perform the following action depending on the status of the queue.
 - if *oldItems* \neq *nil* then removes its head and returns it.
 - if *oldItems* = *nil* and *newItems* \neq *nil* then rearrange the queue so that it become
(*nil*, the reverse of *newItems* without the top element)
and return the top of the reversal of *newItems*.
 - if both lists are *nil* then raise `EmptyQueue` exception

FastIntQueue structure.

```
structure FastIntQueue = struct
  exception EmptyQueue
  type queue = int list ref * int list ref
  fun newQueue () = (ref [],ref []) : queue
  fun enqueue (i,(a,b)) = a := i :: (!a)
  fun dequeue (ref [],ref []) = raise EmptyQueue
    | dequeue (a as ref L, b as ref []) =
      let val (h::t) = rev L
          in (a:=nil; b:=t; h)
          end
    | dequeue (a,b as ref (h::t)) = (b := t; h)
end
```


We can achieve better performance by simply changing `IntQueue` to `FastIntQueue`,

In order to make this process easy, structure your code as:

```
local
    structure Q = IntQueue
in
    ... (* code using Q *)
end
```

If you want to change `IntQueue` to `FastIntQueue`, you simply change the declaration

```
    structure Q = IntQueue
to
    structure Q = FastIntQueue .
```

Specifying Module Signature

As we have learn, Standard ML system infers a signature for a structure.

However, in many cases, **inferred signature contain unnecessary details.**

1. Unnecessary functions and values

For example, in `IntQueue`, `removeLast` is unnecessary to export.

2. Implementation details

For example, type definition `type queue = int list ref in IntQueue` should not be disclosed.

These problems can be solved by specifying a signature for a structure as

```
signature sigId = sig various specs end
```

In *various specs*, you can write

- type definition
- datatype declaration
- variables and their types
- exception declarations
- structures and their signatures

Example: signature for queue module.

```
signature QUEUE = sig
  exception EmptyQueue
  type queue
  val newQueue : unit -> queue
  val enqueue : int*queue -> unit
  val dequeue : queue -> int
end
```

Two Forms of Signature Specification

(1) Transparent Signature Specification

```
structure structId : sigSpec = module
```

Example:

```
structure IntQueue : QUEUE =  
  struct  
    ...the same as before...  
  end;
```

```
structure IntQueue : QUEUE
```

By this signature specification, any bindings that are not in signature are hidden. But it exports the type information.

```
- val q = IntQueue.newQueue();
```

```
val a = ref [] : queue
```

```
- IntQueue.enqueue (1,q);
```

```
val it = () : unit
```

```
- IntQueue.removeLast q;
```

```
stdIn:18.1-18.21 Error: unbound variable or constructor:
```

```
removeLast in path FastIntQueue.removeLast
```

```
- IntQueue.dequeue q;
```

```
val it = 1 : int
```

```
- IntQueue.enqueue (2,q);
```

```
val it = () : unit
```

```
- a := [1];
```

```
val it = () : unit
```

```
- IntQueue.dequeue q;
```

```
val it = 1 : int
```

(2) Opaque Signature Specification

The following specification also hide type structure.

```
structure structId :> sigSpec = module
```

The types specified as type *t* in the signature can only be used through the functions declared in the signature.

```
- structure AbsIntQueue :> QUEUE = IntQueue;
```

```
structure AbsIntQueue : QUEUE
```

```
- val q = AbsIntQueue.newQueue();
```

```
val q = - : queue
```

```
- AbsIntQueue.enqueue (1,q);
```

```
val it = () : unit
```

```
- AbsIntQueue.dequeue q;
```

```
val it = 1 : int
```

But you cannot do the following:

- `q := [1] ;`

stdIn:26.1-26.9 Error: operator and operand

don't agree [tycon mismatch]

*operator domain: 'Z ref * 'Z*

*operand: IntQueue.queue * int list*

in expression: q := 1 :: nil

Selective Disclosure of Types

Sometimes it is necessary to disclose only some of the type structures.

Example : queues whose element types can change.

Attempt 1:

```
signature POLY_QUEUE = sig
  exception EmptyQueue
  type elem
  type queue
  val newQueue : unit -> queue
  val enqueue : elem*queue -> unit
  val dequeue : queue -> elem
end
```


You can then defined a queue for integers as:

```
structure IntQueue :> POLY_QUEUE = struct
  type elem = int
  type queue = elem list ref
  :
end
```

and also a queue for strings as:

```
structure StringQueue :> POLY_QUEUE = struct
  type elem = string
  type queue = elem list ref
  :
end
```

Unfortunately these definitions are useless.

The type `elem` is opaque, the following functions can not be used.

```
val enqueue : elem * queue -> unit
```

```
val dequeue : queue -> elem
```

To solve this problem, you can disclose some of the types by using `where type declaration` as:

```
- structure CQueue :> POLY_QUEUE  
      where type elem = char
```

```
  =struct
```

```
    type elem = char
```

```
    :
```

```
  end;
```

```
structure CQueue : POLY_QUEUE?
```

```
- open CQueue;
```

```
opening CQueue
```

```
exception EmptyQueue  
type elem = char  
type queue  
val newQueue : unit -> queue  
val enqueue : elem * queue -> unit  
val dequeue : queue -> elem
```

Type `elem` is now an alias of `char`.

Module Programming Example

Breadth-first search algorithm using a queue:

It can be programmed using a queue:

1. Enqueue the root in the queue
2. Do the following until the queue become empty:
 - 2.1. dequeue an element from the queue
 - 2.2. if it is not the empty tree then process the node and enqueue the two subtrees.

Define a queue for string tree

```
structure STQueue :> POLY_QUEUE
  where type elem = string tree
= struct
  type elem = string tree
  type queue = elem list ref * elem list ref
  ... (* the same as FastIntQueue *)
end
```

Define a structure BF that performs breadth-first search:

```
structure BF = struct
  structure Q = STQueue
  fun bf t =
    let val queue = Q.newQueue()
        fun loop () =
          (case Q.dequeue queue of
             Node(data,l,r) =>
              (Q.enqueue (l,queue);
               Q.enqueue (r,queue);
               data::loop())
            | Empty => loop())
          handle Q.EmptyQueue => nil
        in (Q.enqueue (t,queue); loop())
        end
    end
```

Modular Programming Using Functor

A functor is a function to generate a structure

`functor` *functorId* (various spec) = structure definition

various specs can be any element that can be specified in signature including:

- `val` *id* : *type*
- `type` *id*
- `eqtype` *id*
- `datatype`
- `structure` *structId* : *sigSpec*
- `exception` *exnId* of *type*

Example: parametric queue

```
functor QueueFUN(type elem) :> POLY_QUEUE
    where type elem = elem
= struct
    type elem = elem
    type queue = elem list ref * elem list ref
        ...
        ... (* same as FastIntQueue *)
        ...
end
```


Functions can be applied to their arguments

functorId(various declarations)

Example:

```
structure ITQuene = QueueFUN(type elem = int tree)
```

Common usage of functions : a function to create a structure using other structures:

```
signature BUFFER = sig
  exception EndOfBuffer
  type channel
  val openBuffer : unit -> channel
  val input : channel -> char
  val output : channel * char -> unit
end
```

```
functor BufferFUN(structure CQueue : POLY_QUEUE
                  where type elem = char)
    :> BUFFER =
struct
    exception EndOfBuffer
    type channel = CQueue.queue
    fun openBuffer () = CQueue.newQueue()
    fun input ch = CQueue.dequeue ch
    handle CQueue.EmptyQueue => raise EndOfBuffer
    fun output(ch,c) = CQueue.enqueue (c,ch)
end
```

The generated structures can be used just as ordinary structures:

```
- structure CQueue = QueueFUN(type elem = char);  
structure CQueue  
- structure Buffer =  
      BufferFUN(structure CQueue = CQueue);  
structure Buffer : BUFFER
```

SYNTAX OF THE STANDARD ML

Notations

definition := structure1 explanation1
 | structure2 explanation2
 :
 | structure explanation

⟨optional⟩ is optional

$[E_1, \dots, E_n]$ one of E_1 through E_n

E^* 0 or more E

E_+ 1 or more E

Constant and Identifiers

<i>scon</i>	$:= int \mid word \mid real \mid string \mid char$	(constant)
<i>int</i>	$:= \langle \sim \rangle [0-9]^+$	(decimals)
	$\mid \langle \sim \rangle 0x[0-9, a-f, A-F]^+$	hexa decimal notation
<i>word</i>	$:= 0w[0-9]^+$	(unsigned decimal)
	$\mid 0wx[0-9, a-f, A-F]^+$	(unsigned hexadecimal)
<i>real</i>	$:= integers . [0-9]^+ [E, e] \langle \sim \rangle [0-9]^+$	(reals)
	$\mid integers . [0-9]^+$	
	$\mid integers [E, e] \langle \sim \rangle [0-9]^+$	
<i>char</i>	$:= \# "[printable, escape]"$	(characters)
<i>string</i>	$:= " [printable, escape]^* "$	(strings)

printable is the set of printable characters except for \ and " .

<i>escape</i> :=	\a	warning (ASCII 7)
	\b	backspace(ASCII 8)
	\t	tab(ASCII 9)
	\n	new line(ASCII 10)
	\v	vertical tab(ASCII 11)
	\f	home feed(ASCII 12)
	\r	return(ASCII 13)
	\^C	control character C
	\\	\ itself
	\"	" itself
	\ddd	character having the code <i>ddd</i> in decimal
	\f ... f\	ignore f...f where f is some format character
	\uxxx	unicode

Classes of Identifiers Used in the Syntax Definition

class	contents	note
<i>vid</i>	variables	long
<i>tyvar</i>	type variables starting with '	
<i>tycon</i>	type constructors	long
<i>lab</i>	record labels	
<i>strid</i>	structure names	long
<i>sigid</i>	signature names	alphanumeric
<i>funid</i>	functor names	alphanumeric

Long identifiers

$$\text{long}X ::= X \mid \text{strid}_1 \dots \text{strid}_n . X$$

Syntax of the Core ML

Some auxiliary definitions:

$xSeq ::= x$	one element
	empty sequence
(x_1, \dots, x_n)	finite sequence ($n \geq 2$)

Syntax for Expressions

$$\begin{aligned} \text{exp} & ::= \text{infix} \\ & \quad | \text{exp} : \text{ty} \\ & \quad | \text{exp andalso exp} \\ & \quad | \text{exp orelse exp} \\ & \quad | \text{exp handle match} \\ & \quad | \text{raise exp} \\ & \quad | \text{if exp then exp else exp} \\ & \quad | \text{while exp do exp} \\ & \quad | \text{case exp of match} \\ & \quad | \text{fn match} \\ \text{match} & ::= \text{mrule} \langle | \text{match} \rangle \\ \text{mrule} & ::= \text{pat} \Rightarrow \text{exp} \end{aligned}$$

Function Applications and Infix Expressions

$$\begin{aligned} \text{appexp} & ::= \text{atexp} \\ & \quad | \text{appexp atexp} \text{ (left associative)} \\ \text{infix} & ::= \text{appexp} \\ & \quad | \text{infix vid infix} \end{aligned}$$

Atomic Expressions

$$\begin{aligned} atexp & ::= scon \\ & \quad | \langle op \rangle longvid \\ & \quad | \{ \langle exprow \rangle \} \\ & \quad | () \\ & \quad | (exp_1, \dots, exp_n) \\ & \quad | [exp_1, \dots, exp_n] \\ & \quad | (exp_1; \dots; exp_n) \\ & \quad | \text{let } dec \text{ in } exp_1; \dots; exp_n \text{ end} \\ & \quad | (exp) \\ exprow & ::= lab = exp \langle , exprow \rangle \end{aligned}$$

Patterns

$$\begin{aligned} \text{atpat} & ::= \text{scon} \\ & | \langle \text{op} \rangle \text{longvid} \\ & | \{ \langle \text{patrow} \rangle \} \\ & | () \\ & | (\text{pat}_1, \dots, \text{pat}_n) \\ & | [\text{pat}_1, \dots, \text{pat}_n] \\ & | (\text{pat}) \\ \text{patrow} & ::= \dots \\ & | \text{lab} = \text{pat} \langle , \text{patrow} \rangle \\ & | \text{vid} \langle : \text{ty} \rangle \langle \text{as pat} \rangle \langle , \text{patrow} \rangle \\ \text{pat} & ::= \text{atpat} \\ & | \langle \text{op} \rangle \text{longvid atpat} \\ & | \text{pat vid pat} \\ & | \text{pat} : \text{ty} \\ & | \langle \text{op} \rangle \text{pat} \langle : \text{ty} \rangle \text{as pat} \end{aligned}$$

Types

$$\begin{array}{l} ty ::= tyvar \\ \quad | \{ \langle tyrow \rangle \} \\ \quad | tySeq \ longtycon \\ \quad | ty_1 * \dots * ty_n \\ \quad | ty \rightarrow ty \\ \quad | (ty) \\ tyraw ::= lab : \langle , tyraw \rangle \end{array}$$

Declarations (1)

```
dec ::= val tyvarSeq valbind  
      | fun tyvarSeq funbind  
      | type tybind  
      | datatype datbind  $\langle$ withtyp tybind $\rangle$   
      | datatype tycon = longtycon  
      | exception exbind  
      | local dec in dec end  
      | opne longstrid1  $\cdots$  longstridn  
      | dec ; dec  
      | infix  $\langle$ d $\rangle$  vid1  $\cdots$  vidn  
      | infixr  $\langle$ d $\rangle$  vid1  $\cdots$  vidn  
      | nonfix vid1  $\cdots$  vidn  
valbind ::= pat = exp  $\langle$ and valbind $\rangle$   
          | rec valbind
```


Declarations (2)

$$\begin{aligned} \text{funbind} & ::= \langle \text{op} \rangle \text{vid } \text{atpat}_{11} \cdots \text{atpat}_{1n} \langle : \text{ty} \rangle = \text{exp}_1 & (m, n \geq 1) \\ & | \langle \text{op} \rangle \text{vid } \text{atpat}_{21} \cdots \text{atpat}_{2n} \langle : \text{ty} \rangle = \text{exp}_2 \\ & | \cdots \\ & | \langle \text{op} \rangle \text{vid } \text{atpat}_{m1} \cdots \text{atpat}_{mn} \langle : \text{ty} \rangle = \text{exp}_m \\ \text{tybind} & ::= \text{tyvarSeq } \text{tycon} = \text{ty} \langle \text{and } \text{tybind} \rangle \\ \text{datbind} & ::= \text{tyvarSeq } \text{tycon} = \text{conbind} \langle \text{and } \text{datbind} \rangle \\ \text{conbind} & ::= \langle \text{op} \rangle \text{vid} \langle \text{of } \text{ty} \rangle \langle | \text{conbind} \rangle \\ \text{exbind} & ::= \langle \text{op} \rangle \text{vid} \langle \text{of } \text{ty} \rangle \langle \text{and } \text{exbind} \rangle \\ & | \langle \text{op} \rangle \text{vid} = \langle \text{op} \rangle \text{lognvid} \langle \text{and } \text{exbind} \rangle \end{aligned}$$

Declarations (3)

$$\begin{aligned} \text{todec} ::= & \text{strdec } \langle \text{topdec} \rangle \\ & | \text{sigdec } \langle \text{topdec} \rangle \\ & | \text{fundec } \langle \text{topdec} \rangle \end{aligned}$$

Structures

```
strdec ::= dec
        | structure strbind
        | local strdec in strdec end
        | strdec <;> strdec
strbind ::= strid = strex <and strbind>
           | strid : sigexp = strex <and strbind>
           | strid :> sigexp = strex <and strbind>
strex ::= struct strdec end
        | longstrid
        | strex : sigexp
        | strex :> sigexp
        | funid (strid : sigexp)
        | funid (strdec )
```

Signature

$sigdec ::= \text{signature } sigbind$
 $sigexp ::= \text{sig spec end}$
 | $sigid$
 | $sigid \text{ where type}$
 $tyvarSeq \text{ longtycon} = ty$
 $sigbind ::= sigid = sigexp \langle \text{and } sigbind \rangle$

Specifications (1)

$spec ::=$ val *valdesc*
| type *typdesc*
| eqtype *typdesc*
| datatype *datdesc*
| datatype *tycon* = datatype *longtycon*
| exception *exdesc*
| structure *strdesc*
| include *sigexp*
| *spec* <;> *spec*
| *spec* sharing type
| *longtycon*₁ = ... = *longtycon*_{*n*}
|

Specifications (2)

valdesc ::= *vid* : *ty* ⟨and *valdesc*⟩

typdesc ::= *tyvarSeq tycon* ⟨and *typdesc*⟩

datdesc ::= *tyvarSeq tycon = condesc* ⟨and *datdesc*⟩

condesc ::= *vid* ⟨of *ty*⟩ ⟨| *condesc*⟩

exdesc ::= *vid* ⟨of *ty*⟩ ⟨and *exdesc*⟩

strdesc ::= *strid* : *sigexp* ⟨and *strdesc*⟩

Functors

$fundec ::= \text{functor } funbind$

$funbind ::= funid (strid : sigexp) \langle : sigexp \rangle = strexp \langle \text{and } funbind \rangle$

| $funid (strid : sigexp) :> sigexp = strexp \langle \text{and } funbind \rangle$

| $funid (spec) \langle : sigexp \rangle = strexp \langle \text{and } funbind \rangle$

| $funid (spec) \langle :> sigexp \rangle = strexp \langle \text{and } funbind \rangle$

STANDARD ML BASIS LIBRARY

The Contents of the Library

Mandatory Library

structure 名	signature 名	remark
Array	ARRAY	polymorphic array
BinIO	BIN_IO	binary IO
BinPrimIO	PRIM_IO	low level IO
Bool	BOOL	
Byte	BYTE	
Char	CHAR	
CharArray	MONO_ARRAY	
CharVector	MONO_VECTOR	
CommandLine	COMMAND_LINE	command line parameters
Date	DATE	
General	GENERAL	

structure name	signature mane	remark
IEEEReal	IEEE_REAL	
Int	INTEGER	
IO	IO	
LargeInt	INTEGER	
LargeReal	REAL	
LargeWord	WORD	
List	LIST	
ListPair	LIST_PAIR	
Math	MATH	
Option	OPTION	
OS	OS	system interface
OS.FileSys	OS_FILE_SYS	
OS.IO	OS_IO	

structure name	signature name	remark
OS.Path	OS_PATH	
OS.Process	OS_PROCESS	
Position	INTEGER	
Real	REAL	
SML90	SML90	for compatibility
String	STRING	
StringCvt	STRING_CVT	string utilities
Substring	SUBSTRING	substrings
TextIO	TEXT_IO	
TextPrimIO	PRIM_IO	
Time	TIME	
Timer	TIMER	
Vector	VECTOR	

structure name	signature name	remark
Vector	VECTOR	
Word	WORD	
Word8	WORD	
Word8Array	MONO_ARRAY	
Word8Vector	MONO_VECTOR	

How to Use a Library

Their signature tell how to use them.

Find out the signature of a library module:

- `signature X = MATH;`

signature X =

sig

type real

val pi : real

val e : real

val sqrt : real -> real

val sin : real -> real

val cos : real -> real

val tan : real -> real

val asin : real -> real

```
val acos : real -> real  
val atan : real -> real  
val atan2 : real * real -> real  
val exp : real -> real  
val pow : real * real -> real  
val ln : real -> real  
val log10 : real -> real  
val sinh : real -> real  
val cosh : real -> real  
val tanh : real -> real  
end
```

It is convenient to print out the signatures of the following basic libraries:

- BOOL
- CHAR
- INTEGER
- REAL
- STRING
- LIST
- ARRAY

which serve as “reference cards”.

Boolean Bool : BOOL

```
signature BOOL =  
  sig  
    datatype bool = false | true  
    val not : bool -> bool  
    val toString : bool -> string  
    val fromString : string -> bool option  
    val scan : (char,'a) StringCvt.reader -> (bool,'a) StringC  
  end
```


Character Char : CHAR

```
signature CHAR =  
  sig  
    eqtype char  
    val chr : int -> char  
    val ord : char -> int  
    val minChar : char  
    val maxChar : char  
    val maxOrd : int  
    val pred : char -> char  
    val succ : char -> char  
    val < : char * char -> bool  
    val <= : char * char -> bool  
    val > : char * char -> bool  
    val >= : char * char -> bool
```

```
val compare : char * char -> order
val scan : (char,'a) StringCvt.reader -> (char,'a) StringC
val fromString : string -> char option
val toString : char -> string
val fromCString : string -> char option
val toCString : char -> string
val contains : string -> char -> bool
val notContains : string -> char -> bool
val isLower : char -> bool
val isUpper : char -> bool
val isDigit : char -> bool
val isAlpha : char -> bool
val isHexDigit : char -> bool
val isAlphaNum : char -> bool
val isPrint : char -> bool
```

```
val isSpace : char -> bool
val isPunct : char -> bool
val isGraph : char -> bool
val isCntrl : char -> bool
val isAscii : char -> bool
val toUpper : char -> char
val toLower : char -> char
end
```

Strings String : STRING

```
signature STRING =
  sig
    type string
    val maxSize : int
    val size : string -> int
    val sub : string * int -> char
    val substring : string * int * int -> string
    val extract : string * int * int option -> string
    val concat : string list -> string
    val ^ : string * string -> string
    val str : char -> string
    val implode : char list -> string
    val explode : string -> char list
    val fromString : string -> string option
```

```
val toString : string -> string
val fromCString : string -> string option
val toCString : string -> string
val map : (char -> char) -> string -> string
val translate : (char -> string) -> string -> string
val tokens : (char -> bool) -> string -> string list
val fields : (char -> bool) -> string -> string list
val isPrefix : string -> string -> bool
val compare : string * string -> order
val collate : (char * char -> order) -> string * string ->
val <= : string * string -> bool
val < : string * string -> bool
val >= : string * string -> bool
val > : string * string -> bool
end
```

Integer Modules Int : INTEGER

```
signature INTEGER =  
  sig  
    eqtype int  
    val precision : Int31.int option  
    val minInt : int option  
    val maxInt : int option  
    val toLarge : int -> Int32.int  
    val fromLarge : Int32.int -> int  
    val toInt : int -> Int31.int  
    val fromInt : Int31.int -> int  
    val ~ : int -> int  
    val * : int * int -> int  
    val div : int * int -> int  
    val mod : int * int -> int
```

```
val quot : int * int -> int
val rem  : int * int -> int
val +    : int * int -> int
val -    : int * int -> int
val abs  : int -> int
val min  : int * int -> int
val max  : int * int -> int
val sign : int -> Int31.int
val sameSign : int * int -> bool
val > : int * int -> bool
val >= : int * int -> bool
val < : int * int -> bool
val <= : int * int -> bool
val compare : int * int -> order
val toString : int -> string
```

```
val fromString : string -> int option
val scan : StringCvt.radix
        -> (char,'a) StringCvt.reader -> (int,'a) String
val fmt : StringCvt.radix -> int -> string
end
```


Reals Real : REAL

```
signature REAL =
  sig
    type real
    structure Math :
      sig
        type real
        val pi : real
        val e : real
        val sqrt : real -> real
        val sin : real -> real
        val cos : real -> real
        val tan : real -> real
        val asin : real -> real
        val acos : real -> real
```

```
    val atan : real -> real
    val atan2 : real * real -> real
    val exp : real -> real
    val pow : real * real -> real
    val ln : real -> real
    val log10 : real -> real
    val sinh : real -> real
    val cosh : real -> real
    val tanh : real -> real
end
val radix : int
val precision : int
val maxFinite : real
val minPos : real
val minNormalPos : real
```

```
val posInf : real
val negInf : real
val + : real * real -> real
val - : real * real -> real
val * : real * real -> real
val / : real * real -> real
val *+ : real * real * real -> real
val *- : real * real * real -> real
val ~ : real -> real
val abs : real -> real
val min : real * real -> real
val max : real * real -> real
val sign : real -> int
val signBit : real -> bool
val sameSign : real * real -> bool
```

```
val copySign : real * real -> real
val compare : real * real -> order
val compareReal : real * real -> IEEEReal.real_order
val < : real * real -> bool
val <= : real * real -> bool
val > : real * real -> bool
val >= : real * real -> bool
val == : real * real -> bool
val != : real * real -> bool
val ?= : real * real -> bool
val unordered : real * real -> bool
val isFinite : real -> bool
val isNaN : real -> bool
val isNormal : real -> bool
val class : real -> IEEEReal.float_class
```

```
val fmt : StringCvt.realfmt -> real -> string
val toString : real -> string
val fromString : string -> real option
val scan : (char,'a) StringCvt.reader
           -> (real,'a) StringCvt.reader
val toManExp : real -> {exp:int, man:real}
val fromManExp : {exp:int, man:real} -> real
val split : real -> {frac:real, whole:real}
val realMod : real -> real
val rem : real * real -> real
val nextAfter : real * real -> real
val checkFloat : real -> real
val floor : real -> int
val ceil : real -> int
val trunc : real -> int
```

```
val round : real -> int
val realFloor : real -> real
val realCeil : real -> real
val realTrunc : real -> real
val toInt : IEEEReal.rounding_mode
          -> real -> int
val toLargeInt : IEEEReal.rounding_mode
               -> real -> Int32.int
val fromInt : int -> real
val fromLargeInt : Int32.int -> real
val toLarge : real -> Real64.real
val fromLarge : IEEEReal.rounding_mode
              -> Real64.real -> real
val toDecimal : real -> IEEEReal.decimal_approx
val fromDecimal : IEEEReal.decimal_approx -> real
```

```
sharing type Math.real = real  
end
```

Lists List : LIST

```
signature LIST =
  sig
    datatype 'a list = :: of 'a * 'a list | nil
    exception Empty
    val null : 'a list -> bool
    val hd : 'a list -> 'a
    val tl : 'a list -> 'a list
    val last : 'a list -> 'a
    val getItem : 'a list -> ('a * 'a list) option
    val nth : 'a list * int -> 'a
    val take : 'a list * int -> 'a list
    val drop : 'a list * int -> 'a list
    val length : 'a list -> int
    val rev : 'a list -> 'a list
```



```
val @ : 'a list * 'a list -> 'a list
val concat : 'a list list -> 'a list
val revAppend : 'a list * 'a list -> 'a list
val app : ('a -> unit) -> 'a list -> unit
val map : ('a -> 'b) -> 'a list -> 'b list
val mapPartial : ('a -> 'b option) -> 'a list -> 'b list
val find : ('a -> bool) -> 'a list -> 'a option
val filter : ('a -> bool) -> 'a list -> 'a list
val partition : ('a -> bool) -> 'a list -> 'a list * 'a li
val foldr : ('a * 'b -> 'b) -> 'b -> 'a list -> 'b
val foldl : ('a * 'b -> 'b) -> 'b -> 'a list -> 'b
val exists : ('a -> bool) -> 'a list -> bool
val all : ('a -> bool) -> 'a list -> bool
val tabulate : int * (int -> 'a) -> 'a list
```

end

General structures

This structure is already opened at the top-level.

```
signature GENERAL =  
  sig  
    type unit  
    type exn  
    exception Bind  
    exception Chr  
    exception Div  
    exception Domain  
    exception Fail of string  
    exception Match  
    exception Overflow  
    exception Size  
    exception Span
```

```
exception Subscript
datatype order = EQUAL | GREATER | LESS
val ! : 'a ref -> 'a
val := : 'a ref * 'a -> unit
val o : ('a -> 'c) * ('b -> 'a) -> 'b -> 'c
val before : 'a * unit -> 'a
val ignore : 'a -> unit
val exnName : exn -> string
val exnMessage : exn -> string
end
```

The Top-Level Environment

Built-in primitive types

type	structure
eqtype unit	General
eqtype int	Int
eqtype word	Word
type real	Real
eqtype char	Char
eqtype string	String
type substring	Substring
type exn	General
eqtype 'a array	Array
eqtype 'a vector	Vector
eqtype 'a ref	

Pre-defined datatypes

type	structure
<code>datatype bool = false true</code>	Bool
<code>datatype 'a option = NONE SOME of 'a</code>	Option
<code>datatype order = LESS EQUAL GREATER</code>	General
<code>datatype 'a list = nil :: of ('a * 'a list)</code>	List

Predefined constants

name	<i>longvid</i>
ref : 'a -> 'a ref	(built-in primitive)
! : 'a ref -> 'a	General.!
:= : 'a ref * 'a -> unit	General.:=
before : 'a * unit -> 'a	General.before
ignore : 'a -> unit	General.ignore
exnName : exn -> string	General.exnName
exnMessage : exn -> string	General.exnMessage
o : ('a -> 'b) * ('c -> 'a) -> 'c -> 'b	General.o
getOpt : ('a option * 'a) -> 'a	Option.getOpt
isSome : 'a option -> bool	Option.isSome
valOf : 'a option -> 'a	Option.valOf
not : bool -> bool	Bool.not

name	defined in
<code>real : int -> real</code>	Real
<code>trunc : real -> int</code>	Real
<code>floor : real -> int</code>	Real
<code>ceil : real -> int</code>	Real
<code>round : real -> int</code>	Real
<code>ord : char -> int</code>	Char
<code>chr : int -> char</code>	Char
<code>size : string -> int</code>	String
<code>str : char -> string</code>	String
<code>concat : string list -> string</code>	String
<code>implode : char list -> string</code>	String
<code>explode : string -> char list</code>	String
<code>substring : string * int * int -> string</code>	String

name	defined in
<code>^ : string * string -> string</code>	String
<code>null : 'a list -> bool</code>	List
<code>hd : 'a list -> 'a</code>	List
<code>tl : 'a list -> 'a list</code>	List
<code>length : 'a list -> int</code>	List
<code>rev : 'a list -> 'a list</code>	List
<code>@ : ('a list * 'a list) -> 'a list</code>	List
<code>app : ('a -> unit) -> 'a list -> unit</code>	List
<code>map : ('a -> 'b) -> 'a list -> 'b list</code>	List
<code>foldr : ('a * 'b -> 'b) -> 'b -> 'a list -> 'b</code>	List
<code>foldl : ('a * 'b -> 'b) -> 'b -> 'a list -> 'b</code>	List
<code>print : string -> unit</code>	TextIO
<code>vector : 'a list -> 'a vector</code>	Vector
<code>use : string -> unit</code>	(primitive)

Overloaded identifiers

name	default type
<code>+</code> : num * num -> num	int * int -> int
<code>-</code> : num * num -> num	int * int -> int
<code>*</code> : num * num -> num	int * int -> int
<code>div</code> : wordint * wordint -> wordint	int * int -> int
<code>mod</code> : wordint * wordint -> wordint	int * int -> int
<code>/</code> : real * real -> real	real * real -> real
<code>~</code> : realint -> realint	int -> int
<code>abs</code> : realint -> realint	int -> int
<code><</code> : numtext * numtext -> bool	int * int -> bool
<code>></code> : numtext * numtext -> bool	int * int -> bool
<code><=</code> : numtext * numtext -> bool	int * int -> bool
<code>>=</code> : numtext * numtext -> bool	int * int -> bool

```
text := {string, char}
wordint := {word, int}
realint := {real, int}
num := {word, int, real}
numtext := {string, char, word, int, real}
```

Binary Operators Declared at the Top-Level

```
infix 7 * / div mod
```

```
infix 6 + - ^
```

```
infixr 5 :: @
```

```
infix 4 = <> > >= < <=
```

```
infix 3 := o
```

```
infix 0 before
```

USING ARRAYS

Array type : eqtype 'a array

τ array is a type for arrays over τ . Equality on arrays are pointer equality.

```
signature ARRAY =
```

```
  sig type 'a array
```

```
       type 'a vector
```

```
  val maxLen : int
```

```
  val array : int * 'a -> 'a array
```

```
  val fromList : 'a list -> 'a array
```

```
  val tabulate : int * (int -> 'a) -> 'a array
```

```
  val length : 'a array -> int
```

```
  val sub : 'a array * int -> 'a
```

```
  val update : 'a array * int * 'a -> unit
```

```
  val copy : {di:int, dst:'a array, len:int option,  
             si:int, src:'a array} -> unit
```

```
val copyVec : {di:int, dst:'a array, len:int option,  
              si:int, src:'a vector} -> unit  
val app : ('a -> unit) -> 'a array -> unit  
val foldl : ('a * 'b -> 'b) -> 'b -> 'a array -> 'b  
val foldr : ('a * 'b -> 'b) -> 'b -> 'a array -> 'b  
val modify : ('a -> 'a) -> 'a array -> unit  
val appi : (int * 'a -> unit)  
          -> 'a array * int * int option -> unit  
val foldli : (int * 'a * 'b -> 'b)  
            -> 'b -> 'a array * int * int option -> 'b  
val foldri : (int * 'a * 'b -> 'b)  
            -> 'b -> 'a array * int * int option -> 'b  
val modifyi : (int * 'a -> 'a)  
             -> 'a array * int * int option -> unit
```

end

Vectors : immutable arrays.

```
signature VECTOR =
```

```
sig
```

```
  eqtype 'a vector
```

```
  val maxLen : int
```

```
  val fromList : 'a list -> 'a vector
```

```
  val tabulate : int * (int -> 'a) -> 'a vector
```

```
  val length : 'a vector -> int
```

```
  val sub : 'a vector * int -> 'a
```

```
  val concat : 'a vector list -> 'a vector
```

```
  val app : ('a -> unit) -> 'a vector -> unit
```

```
  val map : ('a -> 'b) -> 'a vector -> 'b vector
```

```
  val foldl : ('a * 'b -> 'b) -> 'b -> 'a vector -> 'b
```

```
  val foldr : ('a * 'b -> 'b) -> 'b -> 'a vector -> 'b
```

```
  val appi : (int * 'a -> unit)
```

```
        -> 'a vector * int * int option -> unit
val mapi : (int * 'a -> 'b)
        -> 'a vector * int * int option -> 'b vector
val foldli : (int * 'a * 'b -> 'b)
        -> 'b -> 'a vector * int * int option -> 'b
val foldri : (int * 'a * 'b -> 'b)
        -> 'b -> 'a vector * int * int option -> 'b
end
```


Programming Example: Sorting

Sorting Algorithm

The basis of sorting algorithm : **divide and conquer**.

The best sorting algorithm: **quick sort** which proceeds as:

1. Select an element p from a sequence.
2. Divide the sequence into S_1 and S_2 such that $p \geq s$ for any $s \in S_1$ and $p < s$ for any $s \in S_2$.
3. Recursively sort S_1 and S_2 .
4. Return the concatenation of the sequences S_1 , $[p]$ and S_2 .

A Naive Implementation

1. Input data into a list.
2. Sort the list with a function something like:

```
fun sort nil = nil
  | sort (p::t) =
    let fun split nil = (nil,nil)
        | split (h::t) =
          let val (a,b) = split t
              in if h > p then (a,h::b) else (h::a,b)
            end
        val (a,b) = split t
    in
      (sort a)@[p]@(sort b)
    end;
```

This is not you should write for an industrial strength sorting program.

Let's run the sort function

Making a test data:

```
fun randomList n =  
  let  
    val r = Random.rand (0,1)  
    fun next x = Random.randInt r  
    fun makeList 0 L = L  
      | makeList n L = makeList (n - 1) (next () :: L)  
  in  
    makeList n  
  end
```

- `Random.rand` : return a “seed” for random number generator.
- `Random.randInt` : generate one number from the “seed” and update the seed.

Then you can try your sort function by giving a large list.

```
- val data = makeList 1000000;
```

```
val data = [...] : int list
```

```
- sort data;
```

```
val data = [...] : int list
```

As you can see, the previous `sort` consume lots of space!

This should not happen.

You need to use an array for an industrial strength sort system.

Signature of Array Sort

```
signature SORT = sig
  val sort : 'a array * ('a * 'a -> order) -> unit
end
```

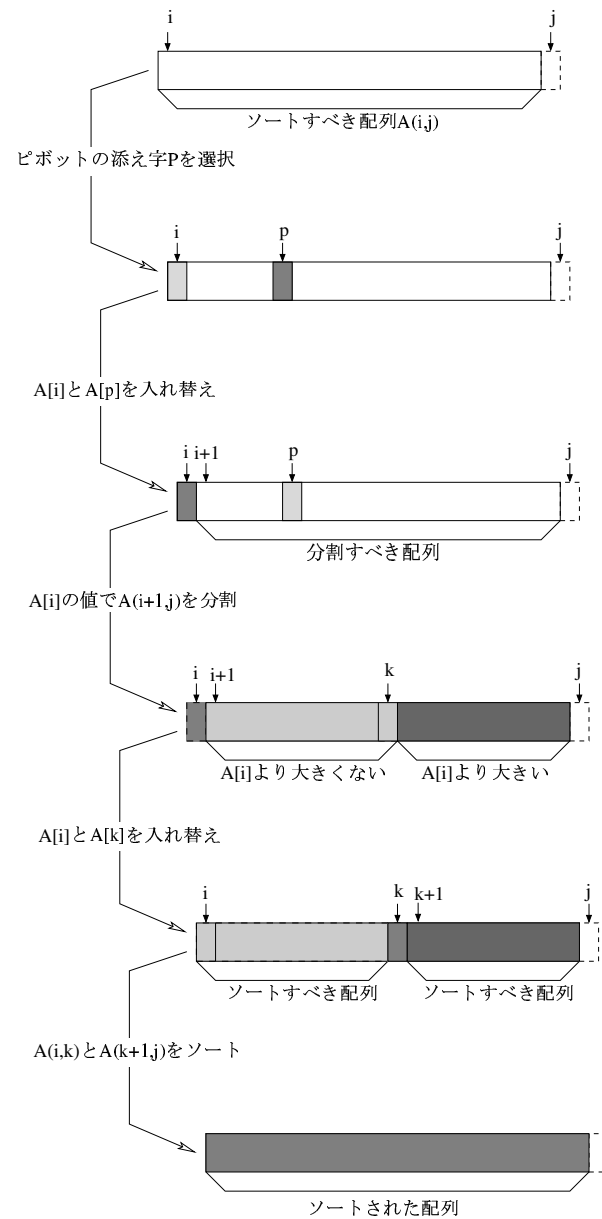
The second parameter is a comparison function. For integers, one can write:

```
fun intcomp (x,y) =
  if x = y then EQUAL
  else if x > y then GREATER
  else LESS
```

Structure of Array Quick Sort

Let $A(i, j)$ be a sub-array from i th element to $j - 1$ 'th element, and $A[i]$ be the i 'th element of array A .

1. Let $A(i, j)$ be the sub-array to be sorted.
2. If $j \leq i + 1$ then we are done.
3. Select one index p and let $A[p]$ to be the pivot value.
4. Rearrange the array $A(i, j)$ so that there is some k such that elements in $A(i, k)$ is less than or equal to *pivot*, $A[k] = A[p]$, and elements in $A(k + 1, j)$ are greater than *pivot*.
5. Recursively sort $A(i, k)$ and $A(k + 1, j)$.




```

structure ArrayQuickSort : SORT = struct
local open Array
in fun sort (array,comp) =
    let fun qsort (i,j) =
        if j <= i+1 then ()
        else
            let val pivot = ...
                fun partition (a,b) = ...
                    val k = partition (i+1,j-1)
                    val _ = (* swapping i'th and k'th elements *)
            in (qsort (i,k); qsort (k+1,j))
            end
        in qsort (0,Array.length array)
        end
    end
end
end

```

Pivot selection

1. Select three indexes i_1 , i_2 and i_3 .
2. Compares $A[i_1]$, $A[i_2]$, $A[i_3]$ and select the middle value as the pivot $A[p]$.
3. Swap $A[p]$ and $A[i]$.
4. Partition $A(i + 1, j)$ using $A[i]$ as the pivot. Let k be the largest index such that $A[k] \leq pivot$.
5. Exchange $A[i]$ and $A[k]$.

Partitioning a sub-array $A(a, b + 1)$

1. Scan from a to the right and find the first k such that $A[k] > pivot$.
2. Scan from b to the left and find the first l such that $A[l] \leq pivot$.
3. Swap $A[k]$ and $A[l]$
4. Recursively partition $A(k + 1, l)$.

```
fun partition (a,b) =  
  if b < a then (a - 1)  
  else  
    let fun scanRight a = ...  
        val a = scanRight a  
        fun scanLeft b = ...  
        val b = scanLeft b  
    in if b < a then (a - 1)  
      else (swap(a,b);partition (a+1,b-1))  
    end
```

Test the Array Sort

Making a test data:

```
fun randomArray n =  
  let  
    val r = Random.rand (0,1)  
    fun next x = Random.randInt r  
  in  
    Array.tabulate (n,next)  
  end
```

Now try the sort function

```
- val a = randomArray 1000000;  
val a = [| .... |] : int array  
ArrayQuickSort.sor(a,intcomp);  
val it = () : unit
```

Some Optimization

1. Quicksort is not optimal for small arrays. If the size of the array is 2 or 3, then hand sort the array.
2. If the size of a given array is small (say less than 7), then you should sort it by other simpler sorting method, say insertion sort.
3. Sophisticated pivot selection does not pay off for small arrays. If the given array is not large (say less than 30) then select the first element as the pivot.

USING SYSTEM TIMER

Time and Timer structure

TIME signature

```
signature TIME =
```

```
sig
```

```
  eqtype time
```

```
  exception Time
```

```
  val zeroTime : time
```

```
  val fromReal : real -> time
```

```
  val toReal : time -> real
```

```
  val toSeconds : time -> LargeInt.int
```

```
  val fromSeconds : LargeInt.int -> time
```

```
  val toMilliseconds : time -> LargeInt.int
```

```
  val fromMilliseconds : LargeInt.int -> time
```

```
  val toMicroseconds : time -> LargeInt.int
```

```
  val fromMicroseconds : LargeInt.int -> time
```



```
val + : time * time -> time
val - : time * time -> time
val compare : time * time -> order
val < : time * time -> bool
val <= : time * time -> bool
val > : time * time -> bool
val >= : time * time -> bool
val now : unit -> time
val toString : time -> string
val fromString : string -> time option
end
```

TIMER signature

```
signature TIMER =
  sig
    type cpu_timer
    type real_timer
    val totalCPUtimer : unit -> cpu_timer
    val startCPUtimer : unit -> cpu_timer
    val checkCPUtimer : cpu_timer -> {sys:Time.time,
                                       usr:Time.time,...}
    val totalRealTimer : unit -> real_timer
    val startRealTimer : unit -> real_timer
    val checkRealTimer : real_timer -> Time.time
  end
```

Measure the execution time of a function.

```
fun timeRun f x =  
  let  
    val timer = Timer.startCPUTimer()  
    val _ = f x  
    val tm = Timer.checkCPUTimer timer  
    val ut = Time.toMicroseconds (#usr tm)  
  in LargeInt.toInt ut  
  end
```

Then we can measure the time required to sort an array of 1000000 as:

```
timeRun ArrayQuickSort (randomArray(1000000),intcomp);
```

Sort Program Evaluation (1)

The lower bound of the sorting problem is $n \log(n)$.

Check the behavior of the sort function:

```
fun checkTime n =  
  let  
    val array = genArray n  
    val tm = timeRun ArrayQuickSort.sort (array, intcomp)  
    val nlognRatio = tm / (nlogn n)  
  in  
    (n, tm div 1000, nlognRatio)  
  end
```

and obtain the time required to sort an array of size n : $c_0 n \log(n)$ (in milliseconds).

Sort Program Evaluation (2)

The previous result depends on the speed of machine etc.

We can factor out the machine etc by doing the following:

use the average time required to compare two element as a unit

The time required to sort an array of size n : $c_1 n \log(n)$ (in the number of comparisons)

Obtain the unit time in your system:

```
fun sample (array,n) =  
  let  
    val p = Array.sub(array,0)  
    val m = n div 2  
    fun loop x =  
      if x <= m then ()  
      else (intcomp(Array.sub(array,n - x),p);  
            intcomp(Array.sub(array,x-1),p);  
            loop (x -1))  
  in  
    loop n  
  end
```

Exercise

1. Write an array sorting module.
2. Write an evaluator function for your sort function which takes a list of numbers for array sizes and shows the following information:

```
-> evalSort [100000,500000,1000000];
```

```
Comparison
```

size	time (micro s)	micro s./n
100000	0	0.00000000
500000	20	0.04000000
1000000	30	0.03000000

avarage	0.02333333
---------	------------

```
Sorting
```

size	time (mil. s)	# of comp / nlogn
------	---------------	-------------------

100000	190	4.90248850
500000	1140	5.16144689
1000000	2460	5.28952707

avarage	5.11782082
---------	------------

INPUT AND OUTPUT

Stream datatypes : instream and ostream

External data such as files and processes are represented as **streams**.

Two models of for input streams:

- functional model
- imperative model

The mode of output stream:

- imperative model

A Standard Imperative IO Structure : TextIO

```
signature TEXT_IO =  
  sig  
    type instream  
    type ostream  
    val stdIn : instream  
    val stdout : ostream  
    val stderr : ostream  
    val openIn : string -> instream  
    val openString : string -> instream  
    val openOut : string -> ostream  
    val openAppend : string -> ostream  
    val closeIn : instream -> unit  
    val closeOut : ostream -> unit
```

```
val input : instream -> string
val input1 : instream -> char option
val inputN : instream * int -> string
val inputLine : instream -> string
val inputAll : instream -> string
val canInput : instream * int -> int option
val lookahead : instream -> char option
val endOfStream : instream -> bool
val output : ostream * string -> unit
val output1 : ostream * char -> unit
val print : string -> unit
val outputSubstr : ostream * substring -> unit
val flushOut : ostream -> unit
..
end
```

Example

```
open TextIO
fun copyStream ins outs =
  if endOfStream ins then ()
  else case input1 ins of
    SOME c => (output1(outs,c);
               copyStream ins outs)
    | NONE => copyStream ins outs
fun copyFile inf outf =
  let val ins = openIn inf
      val outs = openOut outf
  in (copyStream ins outs;
      closeIn ins; closeOut outs)
  end
```

```
fun filterStream f ins outs =  
  if endOfStream ins then ()  
  else case input1 ins of  
        SOME c => (output1(outs,f c);  
                   filterStream f ins outs)  
        | NONE => filterStream f ins outs  
fun filterFile f inf outf =  
  let val ins = openIn inf  
      val outs = openOut outf  
  in (filterStream f ins outs;  
      closeIn ins; closeOut outs)  
  end
```

Functional Stream IO

```
signature TEXT_IO =
  sig
    ...
  structure StreamIO :
    sig
      type vector = string
      type elem = char
      type instream
      type ostream
      val input : instream -> vector * instream
      val input1 : instream -> (elem * instream) option
      val inputN : instream * int -> vector * instream
      val inputAll : instream -> vector * instream
    end
end
```

```
val canInput : instream * int -> int option
val closeIn : instream -> unit
val endOfStream : instream -> bool
val output : ostream * vector -> unit
val output1 : ostream * elem -> unit
val flushOut : ostream -> unit
val closeOut : ostream -> unit
val inputLine : instream -> string * instream
val outputSubstr : ostream * substring -> unit
...
end
```

```
val mkInstream : StreamIO.instream -> instream
val getInstream : instream -> StreamIO.instream
val setInstream : instream * StreamIO.instream -> unit
val mkOutstream : StreamIO.ostream -> ostream
```



```
val getOutstream : outstream -> StreamIO.outstream
val setOutstream : outstream * StreamIO.outstream -> uni
...
end
```

An imperative `instream` can be regarded as a reference to functional stream.

For example, the imperative `instream` can be implemented as:

```
type instream = StreamIO.instream ref
fun input1 s = case StreamIO.input1 (!s) of
    SOME (a,newS) =>(s:=newS; SOME a)
  | NONE => NONE
```

Functional Stream Example

```
signature ADVANCED_IO =  
  sig  
    type instream  
    type ostream  
    val openIn : string -> instream  
    val openOut : string -> ostream  
    val inputN : instream * int -> string  
    val lookAheadN : instream * int -> string  
    val endOfStream : instream -> bool  
    val canInput : instream * int -> int option  
    val check : instream -> unit  
    val reset : instream -> unit  
    val output : ostream * string -> unit
```

```
val redirectIn : instream * instream -> unit
val redirectOut : ostream * ostream -> unit
end
```

```
structure AdvancedIO :> ADVANCED_IO = struct
  structure T = TextIO
  structure S = TextIO.StreamIO
  type instream = T.instream * S.instream ref
  type ostream = T.ostream
  fun openIn f = let val s = T.openIn f
                  in (s,ref (T.getInstream s))
                  end
  fun inputN ((s,_),n) = T.inputN (s,n)
  fun lookAheadN ((s,_),n) = let val ss = T.getInstream s
                               in #1 (S.inputN (ss,n))
                               end
  fun endOfStream(s,_) = T.endOfStream s
  fun canInput ((s,_),n) = T.canInput (s,n)
```

```
fun check (s,ss) = ss := T.getInstream s
fun reset (s,ref ss) = T.setInstream (s,ss)
fun redirectIn ((s1,_),(s2,_)) =
    T.setInstream(s1,T.getInstream s2)
fun redirectOut (s1,s2) =
    T.setOutstream(s1,T.getOutstream s2)
val openOut = T.openOut
val output = T.output
end
```

Programming Example: Lexical Analysis

- testLex();

dog

ID (dog)

(1,2);

LPAREN

DIGITS (1)

COMMA

DIGITS (2)

RPAREN

val it = (): unit

Token datatype:

datatype token =

EOF		ID of string	
DIGITS of string		SPECIAL of char	
BANG	(* ! *)	DOUBLEQUOTE	(* " *)
HASH	(* # *)	DOLLAR	(* \$ *)
PERCENT	(* % *)	AMPERSAND	(* & *)
QUOTE	(* ' *)	LPAREN	(* (*)
RPAREN	(*) *)	TILDE	(* ~ *)
EQUALSYM	(* = *)	HYPHEN	(* - *)
HAT	(* ^ *)	UNDERBAR	(* _ *)
SLASH	(* \ *)	BAR	(* *)
AT	(* @ *)	BACKQUOTE	(* ` *)
LBRACKET	(* [*)	LBRACE	(* { *)
SEMICOLON	(* ; *)	PLUS	(* + *)

COLON	(* : *)	ASTERISK	(* * *)
RBRACKET	(*] *)	RBRACE	(* } *)
COMMA	(* , *)	LANGLE	(* < *)
PERIOD	(* . *)	RANGLE	(* > *)
BACKSLASH	(* / *)	1 QUESTION	(* ? *)

The lexical analysis process:

1. skip preceding space characters
2. determine the kind of token from the first characters
3. read a token

Skipping space characters

```
structure T = TextIO
fun skipSpaces ins =
  case T.lookahead ins of
    SOME c => if Char.isSpace c
               then (T.input1 ins; skipSpaces ins)
               else ()
  | _ => ()
```

Reading each token:

```
fun getID ins =  
  let fun getRest s =  
        case T.lookahead ins of  
          SOME c => if Char.isAlphaNum c then  
                    getRest (s ^ T.inputN(ins,1))  
                  else s  
        | _ => s  
  in ID(getRest "")  
  end
```

The lex function:

```
fun lex ins =
  (skipSpaces ins;
   if T.endOfStream ins then EOF
   else let val c = valOf (T.lookahead ins)
        in if Char.isDigit c then getNum ins
           else if Char.isAlpha c then getID ins
           else case valOf (T.input1 ins) of
                #"!" => BANG
                | #"'" => DOUBLEQUOTE
                | #"#" => HASH
                ... (* other special characters *)
                | _ => SPECIAL c
           end)
  end)
```

A main program:

```
fun testLex () =  
  let val token = lex TextIO.stdIn  
  in case token of EOF => ()  
      | _ => (print (toString token ^ "\n");  
             testLex ())  
  end
```

FORMATING

Substring structure

Intuitively, a substring is a triple (s, i, n)

- s the underlying string
- i start position, and
- n the length of the substring.

Using substring helps making a program faster and more efficient.

```
signature SUBSTRING =
```

```
sig type substring
```

```
    val base : substring -> string * int * int
```

```
    val string : substring -> string
```

```
    val substring : string * int * int -> substring
```

```
    val extract : string * int * int option -> substring
```

```
    val all : string -> substring
```



```
val isEmpty : substring -> bool
val getc : substring -> (char * substring) option
val first : substring -> char option
val triml : int -> substring -> substring
val trimr : int -> substring -> substring
val slice : substring * int * int option -> substring
val sub : substring * int -> char
val size : substring -> int
val concat : substring list -> string
val explode : substring -> char list
val isPrefix : string -> substring -> bool
val compare : substring * substring -> order
val splitl : (char -> bool) -> substring
           -> substring * substring
val splitr : (char -> bool) -> substring
```

```
        -> substring * substring
val dropL : (char -> bool) -> substring -> substring
val dropR : (char -> bool) -> substring -> substring
val takeL : (char -> bool) -> substring -> substring
val takeR : (char -> bool) -> substring -> substring
val position : string -> substring -> substring * substr
val span : substring * substring -> substring
val translate : (char -> string) -> substring -> string
val fields : (char -> bool) -> substring -> substring li
val tokens : (char -> bool) -> substring -> substring li
... end
```

StringCvt structure

A collection of utility functions for formatting.

```
signature STRING_CVT =
```

```
sig
```

```
  val padLeft : char -> int -> string -> string
```

```
  val padRight : char -> int -> string -> string
```

```
  datatype radix = BIN | DEC | HEX | OCT
```

```
  datatype realfmt
```

```
    = EXACT
```

```
    | FIX of int option
```

```
    | GEN of int option
```

```
    | SCI of int option
```

```
  type ('a,'b) reader = 'b -> ('a * 'b) option
```

```
  val splitl : (char -> bool)
```

```
    -> (char,'a) reader -> 'a -> string * 'a
```

```
val takel : ( char -> bool)
           -> (char,'a) reader -> 'a -> string
val drop1 : (char -> bool) -> (char,'a) reader -> 'a -> 'a
val skipWS : (char,'a) reader -> 'a -> 'a
type cs
val scanString : ((char,cs) reader -> ('a,cs) reader)
                 -> string -> 'a option
end
```

Reading a data from a string

To read out a data of type τ , you can simply do the following.

1. Use `Substring.getc` as a character reader from substring,
2. Define a function

```
scan : (char, substring) reader  
      -> ( $\tau$ , substring) reader.
```

3. Apply `scan` to `getc`.

For each atomic type, a scan function is already given.

```
Int.scan : StringCvt.radix
         -> (char, 'a) StringCvt.reader
         -> (int, 'a) StringCvt.reader
- fun decScan x = Int.scan StringCvt.DEC x;
val decScan = fn : (char,'a) StringCvt.reader
              -> (int,'a) StringCvt.reader
```

This function translates any given character stream to an integer stream.

```
- val intScan = decScan Substring.getc;
val intScan = fn : (int,substring) StringCvt.reader
val s = Substring.all "123 abc";
val s = _ : substring
- intScan s;
val it = SOME (123,-) : (int * substring) option
```

A Programming Example : URL parser

$$\begin{aligned} url & ::= \text{http:// domain} \langle path \rangle \langle anchor \rangle \\ & \quad | \text{file:// path} \\ & \quad | \text{relativePath} \\ domain & ::= id \mid id.domain \\ path & ::= /relativePath \\ relativePath & ::= \varepsilon \mid id / relativePath \\ id & ::= \text{a string of alpha numeric characters} \\ anchor & ::= \#id \end{aligned}$$

URL parser signature

```
signature PARSE_URL = sig
  exception urlFormat
  datatype url =
    HTTP of {host:string list,
             path:string list option,
             anchor:string option}
    | FILE of {path:string list,
              anchor:string option}
    | RELATIVE of {path:string list,
                  anchor:string option}
  val parseUrl : string -> url
end
```



```

structure Url:PARSE_URL = struct
  structure SS = Substring
  exception urlFormat
  datatype url = ...
  fun parseHttp s = ...
  fun parseFile s = ...
  fun parseRelative s = ...
  fun parseUrl s =
    let val s = SS.all s
        val (scheme,body) =
          SS.split1 (fn c => c <> #":") s
    in if SS.isEmpty body then
        RELATIVE (parseRelative scheme)
      else case lower (SS.string scheme) of
          "http" => HTTP (parseHttp body)
    end
  end
end

```

```
        | "file" => FILE (parseFile body)
        | _     => raise urlFormat
    end
end
```

You can complete the URL parser by writing a conversion function for each given format.

For `http`, you can write a function that performs the following.

1. Check whether the first 3 characters are “://”.
2. Split the rest into two fields using “/” as a delimiter.
3. Decompose the first string into fields using “.” as a delimiter, and obtain a list of string representing the host name
4. Decompose the second half into two fields using “#” as a delimiter.
5. Decompose the first half into fields using “/” as a delimiter, and obtain a list of string representing a file path.
6. The second half is an anchor string.

```

fun parseHttp s =
  let val s = if SS.isPrefix "://" s then
              SS.triml 3 s
              else raise urlFormat
  in
    fun neq c x = not (x = c)
    fun eq c x = c = x
    val (host,body) = SS.splitl (neq #"/") s
    val domain = map SS.string (SS.tokens (eq #".") host)
    val (path,anchor) =
      if SS.isEmpty body then (NONE,NONE)
      else
        let val (p,a) = SS.splitl (neq #"#") body
            in (SOME (map SS.string
                      (SS.tokens (eq #"/") p)),
               if SS.isEmpty a then NONE
            )
        end
  end

```

```
        else SOME (SS.string (SS.triml 1 a))
      end
in {host=domain, path=path, anchor=anchor}
end
```

Example : Formated Output

Let us write a general purpose print function corresponding to `printf` in C.

The first task is to design a datatype for format specification including the following information:

- datatype and its representing information
 - integers
 - radix (binary, decimal, hexadecimal, octal)
 - reals
 - printing format (exact, fixed, general, scientific)
- data alignment
- the data length

We define the following format specification.

```
datatype kind = INT of StringCvt.radix
              | REAL of StringCvt.realfmt
              | STRING
              | BOOL
```

```
datatype align = LEFT | RIGHT
```

```
type formatSpec = {kind:kind,
                  width:int option,
                  align:align}
```

The next task is to write a function that takes a format specification and a corresponding data and convert the data into a string.

For this purpose, we need to treat data of different types as data of the same type.

```
datatype argument =  
    I of int  
  | R of real  
  | S of string  
  | B of bool
```


We can now write a conversion function as:

```
exception formatError
fun formatData {kind,width,align} data=
  let val body =
    case (kind,data) of
      (INT radix,I i) => Int.fmt radix i
    | (REAL fmt,R r) => Real.fmt fmt r
    | (STRING,S s) => s
    | (BOOL,B b) => Bool.toString b
    | _ => raise formatError
  in case width of
    NONE => body
  | SOME w => (case align of
                LEFT => StringCvt.padRight
                    #" " w body
```

```
| RIGHT => StringCvt.padLeft  
    #" " w body)
```

```
end
```

We also need to write a function for parsing a format specification string.

We consider the following format string:

$$\%[-][ddd]type$$

- `-` is for left align. The default is right align.
- `ddd` is the field length. If the given data is shorter than the specified length, then the formatter pads white spaces.
- `type` is one of the following
 - `d` int in decimal notation
 - `x` int in hexadecimal notation
 - `o` int in octal notation
 - `f` real in fixed decimal point notation
 - `e` real in scientific notation
 - `g` real in the system default representation.

To represent a string having these embedded formatting strings, we define the following datatype.

```
datatype format = SPEC of formatSpec  
                | LITERAL of string
```

LITERAL is a data string to be printed.

A function to parse a format string.

```
fun parse s =
  let val (s1,s) = StringCvt.split1
        (fn c=>c <> #"%") SS.getc s
      val prefix = if s1 = "" then nil
                    else [LITERAL s1]
  in if SS.isEmpty s then prefix
     else let val (f,s) = oneFormat s
            val L = parse s
            in prefix@(f::L)
            end
  end
end
```

A function to convert a formatting string into formatSpec.

```
fun oneFormat s =
  let val s = SS.triml 1 s
  in if SS.isPrefix "%" s then
      (LITERAL "%",SS.triml 1 s)
    else
      let val (a,s) = if SS.isPrefix "-" s
                      then (LEFT,SS.triml 1 s)
                      else (RIGHT,s)
          val (w,s) = scanInt s
          val (c,s) = case SS.getc s of
                        NONE => raise formatError
                        | SOME s  => s
          in (SPEC {width=w,align=a,
                    kind = case c
```

```
of #"d" => INT StringCvt.DEC
| #"s" => STRING
| #"f" => REAL (StringCvt.FIX NONE)
| #"e" => REAL (StringCvt.SCI NONE)
| #"g" => REAL (StringCvt.GEN NONE)
| _ => raise formatError},
s)
end
end
```

The formatting function.

```
fun format s L =  
  let val FL = parse (SS.all s)  
      fun traverse (h::t) L =  
        (case h of  
          LITERAL s => s ^ (traverse t L)  
          | SPEC fmt =>  
            (formatData fmt (List.hd L)  
              ^ (traverse t (List.tl L))))  
          | traverse nil l = ""  
        in (traverse FL L)  
        end
```


A formatting module.

```
signature FORMAT =
sig datatype kind =  INT of StringCvt.radix
                    | REAL of StringCvt.realfmt
                    | STRING
                    | BOOL

datatype align = LEFT | RIGHT
datatype format =
    LITERAL of string
  | SPEC of {kind:kind,
            width:int option,
            align:align}

datatype argument = I of int
                  | R of real
                  | S of string
```

| B of bool

```
exception formatError
```

```
val format : string -> argument list -> string
```

```
val printf : string -> argument list -> unit
```

```
end
```

Exercise

1. Design and implement a balanced binary search tree.
 - Try to implement it as a functor that takes a key type and a comparison function and returns a module.
 - Try to implement a polymorphic binary search tree so that it can be used for various different values for each given key type.
 - Try to support various useful utility functions such as:
 - a function to create a tree from a list
 - functions to list keys and items, to `foldr` a tree, to `map` a function over a tree, etc.
2. Evaluate your search module by creating trees of various sizes and measuring execution speed of various functions.
3. **Extra Credit.** Add a function to delete a node having a given key.

For Further Study

- ML プログラミング

L. Paulson, "ML for the Working Programmer, 2nd Edition",
Cambridge University Press, 1996.

- ML等の近代的言語動作原理

大堀, 西村, ガリグ, コンピュータサイエンス入門 アルゴリズム
とプログラミング言語, 岩波書店

- ML等の近代的言語の実装

X. Leroy, The ZINC experiment : an economical implementation of the
ML language,

お願い

MLの教科書（Standard ML入門）やその他MLに関する感想（MLにほしい機能，読んでみたいMLの教材など）があったらohoriまでメールください．

教科書の改善や，新しい教材作成，さらに，開発中の次世代MLなどの参考にさせていただきます．